

Guidelines for Responding to The Effects of Climate Change in Coastal and Ocean Engineering 2004 Update

The National Committee on Coastal and Ocean Engineering

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# Guidelines for Responding to the Effects of Climate Change in Coastal and Ocean Engineering

2004 Update



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# Preface

These guidelines have been prepared by the National Committee on Coastal and Ocean Engineering (NCCOE) of the Institution of Engineers Australia (ENGINEERS AUSTRALIA) and represent an update and extension of a methodology first developed in 1991, which is outlined in Appendix A for reference. The NCCOE was formed in 1971 and is an honorary group of specialist engineering professionals, whose objective is to advance the science and art of coastal and ocean engineering throughout the general engineering profession and the community, by such means as:

- · facilitating initial and continuing education in our field
- assisting in relevant tertiary course accreditation
- encouraging and setting priorities for research
- · ensuring the availability of technical standards
- encouraging good coastal and ocean engineering practice
- providing a forum and a network for the exchange of views and experience
- formulating policy for Engineers Australia in the coastal and ocean area
- fostering interaction between the profession and the public on technical and social issues

Funding for the preparation of these guidelines was provided by income received from the biennial NCCOE-sponsored Australasian Coastal and Ocean Engineering Conference series. The base report was prepared by Dr Bruce Harper of Systems Engineering Australia Pty Ltd under the supervision of the NCCOE. The provision of material by CSIRO Atmospheric Research and the National Tidal Facility is also gratefully acknowledged.

This document is provided for the guidance of coastal and ocean engineers, who are expected to accept responsibly for their interpretation and application of the material provided. In particular, users should be aware that adoption of the recommended allowances, strategies and approaches in this document may not in itself be sufficient to gain development approval under Commonwealth, State or Local Government policies, where specific regulations might exist.

Further details of the NCCOE and its activities may be found online at:

## http://www.ieaust.org.au/nccoe or

### http://www.engineersaustralia.org.au/nccoe

or by contacting:

#### The Secretary National Committee on Coastal and Ocean Engineering ENGINEERS AUSTRALIA

11 National Circuit Barton ACT 2600 Tel: (02) 6270-6555 Fax: (02) 6273-1488 Email: nccoe@ engineersaustralia.org.au

# **Dedication**

This publication is dedicated to the memory of the late Dr David Wilkinson, former NCCOE member and distinguished academic in the field of coastal and ocean engineering. David, who unexpectedly passed away in 1998, was a principal contributor to the first edition of this document completed in 1991 and was a co-recipient of the NCCOE Kevin Stark Memorial Award for excellence in coastal and ocean engineering in 1997.

# Contents

1.	Introduction	1
1.1	Background	1
1.2	Content and Use	2
2.	Climate Change	5
2.1	The Intergovernmental Panel on Climate Change	5
2.2	The Scientific Basis of Climate Change	5
	2.2.1 The Global Warming Process	5
	2.2.2 Evidence of Climate Change	7
	2.2.3 Latest Global Projections	8
<u>.</u>	2.2.4 Major Weather Systems and Global Climate Change	10
2.3	Impacts, Adaptation and Vulnerability to Climate Change 2.3.1 General	13 13
	2.3.2 The Oceans	13
	2.3.3 Coastal Zones and Small Islands	14
2.4	Possible Response Strategies	16
	2.4.1 Adaptation Options	16
	2.4.2 Implementation	17
3.	Australian Regional Projections and Impacts	19
3.1	General	19
3.2	National Projections	19
3.3	Regional Projections	20
4.	Engineering Impact Assessment	23
4.1	Scope	23
4.2	Methodology	24
4.3	Application	24
4.4	Example Assessments	36
	4.4.1 Detailed Example 1: Fixed or Floating Offshore Structures	36
	4.4.2 Detailed Example 2: Nearshore Tourism Development	39
4.5	Summary	40
5.	Research and Monitoring Needs	43
5.1	The Scientific Basis	43
5.2	Impacts, Adaptation and Vulnerability to Climate Change	43
5.3	Coastal and Ocean Engineering Issues	44
6.	Glossary	47
7.	References	49
8.	Selected Regional Resource Material	55
8.1	Northern Territory	55
8.2	Queensland	56
8.3	New South Wales	60

8.4	Victoria	62
8.5	Tasmania	63
8.6	South Australia	63
8.7	Western Australia	66
8.8	General	68

## TABLES

10
23
26
27
28
35
38
41

## FIGURES

Figure 1 –	The Earth's radiation and energy balance in W m <sup>-2</sup> (after IPCC 2001b,	
	fig. 1.2)	6
Figure 2 –	Combined trends in land-surface air and sea-surface temperature	
	anomalies (after IPCC 2001a, fig 2)	7
Figure 3 –	Fluctuating greenhouse gas concentrations in the atmosphere	
	(after IPCC 2001a, fig 8)	8
Figure 4 –	Australian long-term sea level records (after PCTMSL 1999)	9
Figure 5 –	1990 to 2100 SRES sea level rise projections (after IPCC 2001b)	11
Figure 6 –	Recommended engineering impact assessment procedure	25
Figure 7 –	Relationship between encounter probability, design life and	
	average recurrence interval	35

## APPENDICES

Appendix A – Basis of the NCCOE Methodology for Assessment of Climate	
Change implications	71
Appendix B – Intended Audience and Scope of Application	73
Appendix C – Impact Assessment Interaction Matrix Template	75

# 1. Introduction

## 1.1 Background

This document updates the initial climate change guidelines prepared by the National Committee on Coastal and Ocean Engineering (NCCOE 1991; refer also Appendix A herein), and is designed to complement the coastal engineering guidelines for ecologically sustainable development (NCCOE 2004). It represents an expansion of the original document made in response to requests from the coastal and ocean engineering profession in Australia and fulfils a need to reflect the most recent climate change scenarios. Climate science itself continues to evolve at a rapid rate, fuelled by Government research initiatives, and it is expected that further updated NCCOE climate change guidelines will be prepared in the future.

Over the past two decades there has been a growing awareness of the potential impacts that human-induced global climate change may have, and especially its possible effects on the coastal and ocean environment. This awareness has been raised to the extent that many approving bodies now insist that climate change effects be taken into account in all new development applications. This document has been prepared to provide the coastal and ocean engineering professional with guidelines for implementing coastal zone management strategies and for facing the many engineering issues raised by the possibility of a changing global climate.

In the past, engineers relied on the assumption that the natural environment, although highly variable, remains statistically static and that probability distributions for prime environmental factors such as wind speed, wave height, flood frequency and sea level are unchanging with time. Efforts have therefore centred on the already difficult problem of estimating the underlying natural statistical variability of these phenomena through long term measurement programs, sophisticated numerical modelling and statistical simulation. The proven rise in carbon dioxide levels and the possibility of the Earth being subject to an "enhanced greenhouse" effect has brought some aspects of this basis of design into question. Extrapolation of probability distributions to exposure times very much longer than the data base may be invalid in a changing environment unless some specific account can be taken of those changes. The NCCOE believes that the weight of scientific opinion suggests that changes to climate may occur within the design life of many coastal and ocean engineering activities. Consequently, consideration of the possible impacts of climate change should be included in the design process.

The potential threat of climate change must however be kept in perspective. Natural climate variability on a regional basis (setting aside human-induced climate change) remains as the single greatest source of uncertainty affecting engineering assessments of risk and in providing appropriate societal solutions by way of strategies, interventions or structures. For example, there is presently no substantiated increasing trend in the global occurrence of severe weather related events, but rather the increasing population in the coastal zone has itself significantly increased the exposure of the modern coastal society to extreme coastal events. Also, the quasi-steady ENSO (El Niño – Southern Oscillation) phenomenon, which has been the subject of intensive research and data gathering for many years, provides multiseasonal variability that is of similar magnitude to some projected aspects of long term climate change. Our overall responses to enhanced greenhouse should therefore be seen as an expansion of our current methodologies, which are integral to the sustainable development and management of the coastal and ocean zone, and where natural variability

In spite of the enormous and growing body of scientific literature and knowledge ... it is essential that the professional coastal and ocean engineer also continues to exercise good judgement in response to the possible impacts of climate change. and more direct and quantifiable human effects still presently dominate.

The problem of possible global climate change highlights the lack of knowledge about many essential aspects of our complex ecosystem, raises many questions, and demands hypotheses about the possible response of systems for which we do not yet have a full understanding. Accordingly, some of the popular scientific debate remains somewhat pessimistic, with the tendency to emphasise the possible extremes rather than the more probable outcomes. The literature is also extensive and can be repetitive, making casual enquiries difficult.

In spite of the enormous and growing body of scientific literature and knowledge of global warming, there are unlikely ever to be definite predictions of its effects or panaceas for its possible impacts. It therefore is essential that the professional coastal and ocean engineer also continues to exercise good judgement in response to the possible impacts of climate change.

# 1.2 Content and Use

This update sets out to review the causes of atmospheric warming due to the enhanced greenhouse effect and identifies the primary climate-sensitive factors for various types of coastal engineering activities. Guidelines are then provided that should enable an experienced coastal and ocean engineer to assess the significance of climate change for a particular situation or project. The format of this update differs from the 1991 version by providing more textual material to further emphasise the methodology of assessment and its general application. Some minor changes have also been made to the lists of declared primary and secondary variables but the overall methodology and recommended assessment process remains essentially unchanged.

The document provides:

- An introduction to climate change issues
- The current status of scientific debate on climate change
- Latest global scenario projections
- · Guidelines for response and adaptation measures
- Regional Australian projections of climate change
- · Resources for further enquiry
- A recommended methodology for engineering impact assessment
- Example assessments

The document is designed primarily for use by professional engineers, whose experience and qualifications meet or exceed those presented in Appendix B, with expertise in and responsibility for works and facilities within the coastal and ocean field. Recognised areas of speciality and experience may include:

- Ports and harbours, dredging and reclamation
- · Breakwaters, seawalls and revetments
- · Sediment transport, beach nourishment
- Coastal management and planning
- Coral reefs and islands

- Hydraulics of estuaries, rivers and canals
- Water quality, mixing and dispersion
- Physical hydraulic scale modelling
- · Numerical modelling of tides, currents, waves and storm surge
- Data collection and analysis
- Natural hazards risk assessment
- Vessel motion analysis
- Marine pipelines and offshore structures
- Design criteria assessment
- Meteorology and oceanography
- Statistics of extremes.

It is emphasised that this document provides guidelines only and it remains the responsibility of the user to apply appropriate professional judgement to each application.

# 2. Climate Change

# 2.1 The Intergovernmental Panel on Climate Change

While many hundreds of researchers around the world individually contribute to the evolving science of climate change, a primary consensus reference on this subject is the Intergovernmental Panel on Climate Change (IPCC). The IPCC was jointly established by the World Meteorological Organisation (WMO) and the United Nations Environment Programme (UNEP) in 1988. Its role is to (i) assess available scientific information on climate change, (ii) assess the environmental and socio-economic impacts of climate change, and (iii) formulate response strategies.

The IPCC First Assessment Report was completed in August 1990 and served as the technical basis for the United Nations Framework Convention on Climate Change (UNFCC). This convention seeks to minimise and reduce the adverse human impacts on the global climate system through internationally agreed controls on so-called greenhouse gas production. The greatest single contribution to global warming is due to increases in atmospheric CO<sub>2</sub> since the commencement of the industrial revolution, circa 1750. The inaugural NCCOE guidelines document (NCCOE 1991) reported the IPCC 1990 findings and estimates. The present document reflects the revised and updated IPCC position from the Third Assessment Report (TAR) and contains significant changes in temperature and sea level projections when compared with the 1990 estimates.

The Australian Government interpretation of the IPCC projections and impacts is provided in *Climate Change: Australia's Third National Communication on Climate Change* (AGO 2002). Although not a technical report, internet links to all official publications are provided through The Australian Greenhouse Office at http://www.greenhouse.gov.au. Downloadable versions of the full IPCC technical reports and summaries are available from http:// www.unep.ch/ipcc.

Many of the following extracts and summaries are derived directly from IPCC (2001 abcd) unless otherwise indicated. The discussion here is targeted towards issues that are specifically relevant to coastal and ocean engineering activities. The complete IPCC documents should be consulted by those requiring a more expansive and inclusive treatment of the subject. IPCC figures here are reproduced with permission from Cambridge University Press.

# 2.2 The Scientific Basis of Climate Change

## 2.2.1 The Global Warming Process

This topic is addressed by Working Group I of the IPCC (IPCC 2001b), which reports considerable progress having been made in the understanding of climate change since 1990 with new data and more accurate analyses becoming available.

The earth absorbs radiation from the Sun, mainly at the surface. This energy is then redistributed by the atmospheric and oceanic circulation and radiated to space at longer ("terrestrial" or infrared) wavelengths. On average, for the Earth as a whole, the incoming solar radiation is balanced by the outgoing terrestrial radiation. Any factor that alters the radiation received from the Sun or lost to space, or which alters the redistribution of energy within the atmosphere, and between the atmosphere, land and ocean, can affect climate. A change in the energy available to the Earth/atmosphere system is termed a *radiative forcing*.

Figure 1 summarises the earth's energy balance. Some of the infrared radiation leaving the atmosphere originates near the Earth's surface and is transmitted relatively unimpeded

Most systems are sensitive to climate change. Natural ecological systems socioeconomic systems and human health are all sensitive to both the magnitude and rate of climate change (IPCC 1996b). through the atmosphere. The bulk of the radiation, however, is intercepted and absorbed by the atmosphere that in turn emits radiation both up and down. Most of the atmosphere consists of nitrogen and oxygen (99% of dry air) that are transparent to infrared radiation. However, water vapour (0 to 2%), carbon dioxide, ozone and some other minor gases absorb some of the surface thermal radiation. These *radiatively active* gases are also termed greenhouse gases because they act as a partial blanket increasing the surface temperature of the Earth above what it would otherwise be, analogous to the effects of a greenhouse. Water vapour (as clouds) is radiatively active but also reflect solar radiation, leading to a net small cooling effect overall. The natural presence of greenhouse gases has been a positive and necessary feature of the development of life on Earth. Without heat-trapping greenhouse gases the surface would have an average temperature of  $-18^{\circ}$ C rather than our current average of  $15^{\circ}$ C.

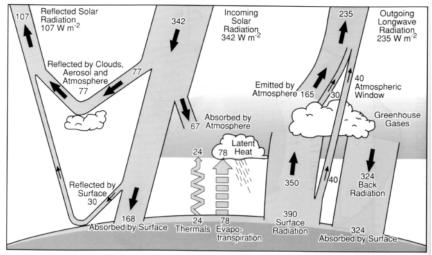


Figure 1. The Earth's radiation and energy balance in W m<sup>-2</sup>(after IPCC 2001b, fig 1.2)

The climate can vary for many reasons but human activities, in particular, can lead to changes in atmospheric composition and hence radiative forcing through, for example, the burning of fossil fuels, wide scale deforestation or through processes that increase the number and distribution of manmade aerosols (very small airborne particles and droplets). Large scale changes in land uses that alter properties of the Earth's surface can also give rise to changes in climate. Synthetic aerosol gases such as CFCs and HCFCs are also radiatively active, but because they act to deplete stratospheric ozone levels, their net radiative forcing effect is relatively low.

The additional surface heating component of human-induced (or anthropogenic) origins is referred to as the *enhanced greenhouse* effect. Because of the relative radiative forcing potential of each of the primary gases, the principal contributing effect is from  $CO_2$ , the excess amounts of which can be expected to remain in the atmosphere for many decades to centuries. If  $CO_2$  emissions were maintained at 1994 levels, it is estimated that they would lead to a nearly constant rate of increase in atmospheric concentrations for at least two centuries, reaching about 500 ppm (approaching twice the pre-industrial concentration of 280 ppm) by the end of the 21st century (IPCC 1996a). This prediction is the basis of the commonly referred to "2 x  $CO_2$ " scenario of an enhanced greenhouse world.

A warming atmosphere ultimately implies a warming ocean that, through a variety of processes, is expected to cause an increase in mean sea level. Coupled with this is the

Without natural heattrapping greenhouse gases the Earth's surface would have an average temperature of -18° C rather than our current average of 15°. possibility that large scale weather patterns and extreme events may also be altered as a result of the ongoing warming of the Earth.

### 2.2.2 Evidence of Climate Change

By 1995 the balance of evidence had already suggested that there had been a discernible human influence on global climate since the late 19th century (IPCC 1996a). In addition, in the light of new evidence and taking into account the remaining uncertainties, most of the observed warming of the Earth over the last 50 years is likely to have been due to the increase in greenhouse gas concentrations alone (IPCC 2001a).

This conclusion is supported by the following observations:

- The 1990s are likely to have been the warmest decade of the millennium (since 1860) and 1998 the warmest year in the record
- Global mean surface air temperature has increased by 0.6°C (95% confidence of ±0.2°) and night-time temperatures over land have generally increased more than daytime temperatures (Figure 2)
- · Regional changes in precipitation have been increasingly observed
- By 1999, the atmospheric concentrations of greenhouse gases, *inter alia* carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O), had grown significantly: by about 31%, 150% and 16% respectively since pre-industrial times, i.e. since about 1750 (Figure 3).
- The rate of global sea level rise during the 20th century is in the range 1.0 to 2.0 mm a<sup>-1</sup> (Figure 4).

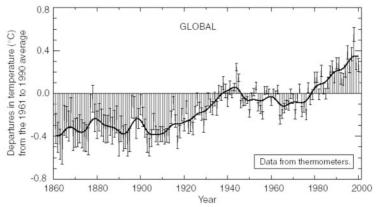


Figure 2. Combined trends in land-surface air and sea-surface temperature anomalies (after IPCC 2001a, figure 2)

With respect to regional sea levels, the Australian National Tidal Facility has been collecting, archiving and disseminating sea level and related material for over 30 years, and an extract of data from some long-term Australian tidal stations is presented in Figure 4 (PCTMSL 1999). The longest reliable record is from Fremantle in Western Australia spanning 100 years, followed by Fort Denison in Sydney Harbour with over 80 years. The mean sea level trend in mm per year is indicated against each record, together with the standard deviation in parenthesis. Three of these four records show an increasing trend while Port Pirie in South Australia shows a negative trend with some marked variability. The Adelaide Outer Harbour site has the highest positive trend but this is thought to be due to local land subsidence. Taking all 27 sites in Australia where the record is at least 23 years long, the average trend in sea level is +0.3 mm per year, but with 11 sites showing a negative trend.

The Earth's climate system has demonstrably changed on both global and regional scales since tthe pre-industrial era, with some of these changes attributable to human activities (IPCC 2001a) Of particular significance for many of these records is the high variability on a decadal timescale, with many stations recording lowering sea levels in the past few years, thought to be related to the relatively persistent El Niño condition during that time.

#### 2.2.3 Latest Global Projections

The projected mean increase in global surface air temperature by the year 2100 is 3.0° C. (IPCC 2001a) The TAR reports that several complex numerical Atmosphere-Ocean General Circulation Models (AOGCMs) have shown increasing success in reproducing broad climate behaviour, replacing earlier so-called *slab model* approaches that assumed an equilibrium condition between the atmosphere and the ocean. Important uncertainties remain, but the IPCC believes these have been taken into account in their full range of projections of global mean temperature and sea level change. These projections are based on a series of modelled greenhouse gas emission scenarios, which are predicated on world population estimates, economic growth and consequent energy usage patterns.

The most-often quoted scenario prior to the TAR was termed IS92a, which represented the "business as usual" outcome and implicitly assumed limited success of UNFCC plans to

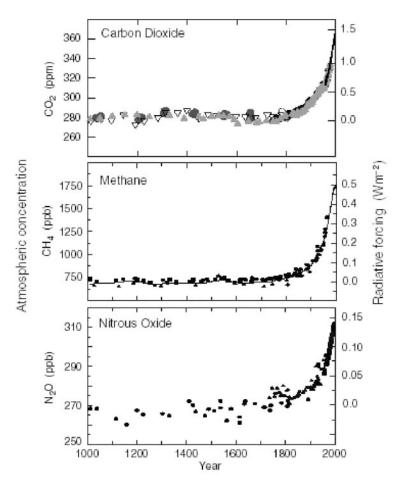


Figure 3. Fluctuating greenhouse gas concentrations in the atmosphere (after IPCC 2001a, fig 8)

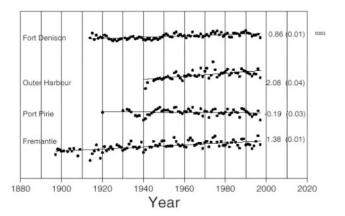


Figure 4 - Australian long-term sea level records (after PCTMSL 1999)

reduce emissions through international agreement. Following the IPCC (2000) Special Report on Emission Scenarios, a new set of 35 scenarios termed SRES has been developed to replace the IS92 standard. These are also independent of UNFCC initiatives but attempt to describe a wider set of possible future world behaviours, leading to an increased range in emissions. The "A" class of SRES scenarios broadly represent an economic focus, while the "B" scenarios are more environmentally orientated. Due to the late adoption of the SRES scenarios, the TAR contains a mixture of IS92a (with reduced aerosols) and SRES scenario projections, not all of which had yet been modelled by AOGCMs. Some simpler climate models, calibrated against AOGCMs, have then been used to complete all 35 SRES scenarios.

#### Land Surface Air Temperature

The range of surface air temperature increases suggested by the full SRES scenarios varies between 1.4°C and 5.8°C by the year 2100. Within each base scenario, model sensitivity testing has then been undertaken using a range of model parameter assumptions. Using the more limited set of projections available from AOGCMs, the projected mean increase in global surface air temperature resulting from all of these is 3.0°C by the year 2100, when compared with the 1961-1990 period mean value. It should be noted however that regional temperature changes are likely to vary substantially from the global mean value and the AOGCMs still show a relatively poor correlation in this regard. In broad terms, the Northern Hemisphere is expected to warm about twice the amount of the Southern Hemisphere, although Australia's increase is predicted to be above the global mean. It is also important to appreciate that surface temperature is projected to continue to increase beyond 2100 even if concentrations of greenhouse gases were stabilised by that time, because of the thermal inertia of the oceans.

While the IS92a temperature estimate (IPCC 1996a) was approximately one third lower than the "best estimate" in 1990, the TAR projections using the new SRES scenarios have now increased these values. This is due primarily to improved models and some higher SRES emission scenarios combined with lower projected sulfate aerosols, which are greenhouse inhibitors.

#### Sea Level Rise

The TAR projections of global average sea level rise from 1990 to 2100, using a range of AOGCMs following the IS92a scenario (with reduced aerosols), lie in the range 0.11 to

0.77m. The main contributions to this projected rise are thought to be, in order of decreasing contribution:

- An accelerating thermal expansion throughout the 21st century
- The melting of glaciers
- Retreat of the Greenland ice shelf
- Antarctic ice losses

These updated IS92a estimates represent about a 10% reduction over the previous 1996 values, which were in turn approximately 25% lower than the 1990 estimates. This continued slight reduction is due to improved modelling of glaciers and ice sheets. By comparison, the full set of new SRES scenarios (not all of which are based on full AOGCM simulations) now yield a projected sea level rise range of 0.09 to 0.88m, which is similar to the IPCC (1996a) IS92a values for reduced aerosols. A summary of these key projections of sea level increase by the year 2100 are presented in Table 1, together with suggested engineering estimates for application over this planning period. Figure 5 summarises the various projections to indicate the expected changes with time and to allow interpolation depending on the application.

The central estimate is that sea level will rise by about 0.5m by the year 2100, with a range of uncertainty of 0.1 to 0.9m. In respect of regional variations, models agree on the qualitative conclusion that local changes may be substantial when compared with the global average. Beyond that, there is little similarity between the models. Like temperature, nearly all models project greater than average sea level rise in the Arctic Ocean and below average rise in the Southern Ocean. Almost all AOGCMs (including the CSIRO model) suggest Australia will experience sea level increases below the global average and some predict significantly lower values.

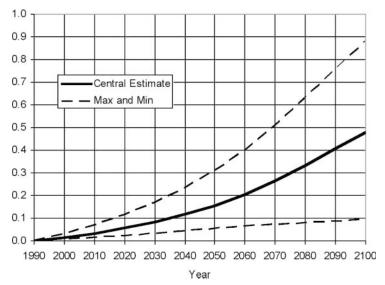
Notwithstanding that 2100 is the targeted planning period here, it is important to note that if greenhouse gas concentrations were stabilised (even at present levels), sea level is nonetheless predicted to continue to rise for hundreds of years. After 500 years, sea level rise from thermal expansion alone may have reached only half of an eventual increase of up to 2m for 2 x CO<sub>2</sub> or up to 4m for 4 x CO<sub>2</sub> conditions. Furthermore, if a 3<sup>o</sup> surface air temperature increase persists over the next millennia, it is expected to lead to virtually a complete melting of the Greenland ice sheet, with a resulting sea level rise of about 7m. There is enough capacity in the combined Greenhouse and Antarctic ice sheets to potentially raise global sea levels by almost 70m.

Scenario	IS9:	2a	SRES	Engineering
Range (m)	IPCC (1996a) IPCC (2001b)		IPCC (2001b)	Estimate
Min	0.20	0.11	0.09	0.1
Central	0.49	0.44	0.48	0.5
Max	0.86	0.77	0.88	0.9

#### Table 1. Projected sea level increases to 2100

### 2.2.4 Major Weather Systems and Global Climate Change

There are many complex interactions within the global climate system that are intrinsically linked to the deep ocean circulation. For example, the thermohaline circulation, with a timescale of many thousands of years, is a massive regulator of global temperature and is thought to play an important role in many other observed multidecadal climate fluctuations (refer Section 2.3.2). However, the present discussion is limited to those features of typically decadal timescales or less, which are likely to have a more immediate impact on Australian climate.



if greenhouse gas concentrations were stabilised (even at present levels), sea level would continue to rise for hundreds of years. IPCC (2001a).

Figure 5. 1990 to 2100 SRES sea level rise projections (after IPCC 2001b)

#### El Niño – Southern Oscillation (ENSO)

The El Niño – Southern Oscillation (ENSO), which originates in the tropical Pacific Ocean, is the strongest natural fluctuation of climate on inter-annual timescales and is also believed to influence decadal timescales. ENSO is generated by ocean-atmosphere interactions such that positive sea surface temperature anomalies in the eastern equatorial Pacific (a so-called El Niño event) tend to reduce the normally high temperature difference across the Pacific. This results in a reduction of the easterly trade winds and a lowering of sea levels in the west and a rising in the east by as much as 0.25m. During an El Niño, the Australian east coast typically experiences below-average sea surface temperature and the incidence of tropical cyclones is also significantly reduced as the zone of tropical cyclogenesis typically moves more eastward. However, because of the oscillating ocean-atmosphere interactions a reverse phase or La Niña can also occur, whereby Australia experiences higher sea level and sea surface temperatures, with a greater incidence of coast-crossing tropical cyclones.

The relative incidence of El Niño and La Niña episodes over the past 120 years typically suggests a chaotic system where the relative proportion of each extreme is similar. However, during the past two decades, there has been a much higher occurrence of El Niño events due to a general warming of the eastern Pacific. Some researchers believe that these recent changes (since 1976) may be attributable to climate change. However, this attribution is uncertain (IPCC 2001b) in view of the strong natural variability and the inability of models to fully simulate ENSO realistically. Nonetheless, AOGCMs predict a continuation of the ENSO-like phenomenon under enhanced greenhouse conditions, with possible increases in precipitation variability over tropical regions.

#### Monsoons

The annual monsoon results from the seasonal large-scale overturning of the atmosphere in the tropics and sub-tropics. Rapid land heating draws low level maritime air inland where convection and release of latent heat causes a circulation to develop which, in addition to the large-scale precipitation, also causes a surge in the wind patterns in tropical areas.

It is likely that warming associated with increasing greenhouse gas concentrations will cause an increase of Asian summer monsoon precipitation variability. Changes in monsoon mean

duration and strength depend on the details of the emission scenario. The confidence in such projections is limited by how well the climate models simulate the detailed seasonal evolution of the monsoons.

#### **Tropical Cyclones**

Any significant modifications to the behaviour of tropical cyclones in a changed climate could have especially damaging impacts for regions of northern Australia. In the context of coastal and ocean engineering, the potential exists for changing extreme wind, wave and current environments to perhaps adversely affect existing infrastructure where design conditions have been based on estimates from the historical dataset. In terms of loss of life, storm surges and their resulting storm tides represent the single greatest threat to our rapidly growing coastal populations (Harper 1999).

The current IPCC (2001b) view on the possible effects of climate change on tropical cyclones supports the statement by the specialist Tropical Marine Research Program sub-committee of the WMO Commission for Atmospheric Sciences (Henderson-Sellers et al. 1998), which provides definitive guidance on a number of specific tropical cyclone issues. This statement draws upon a wider source of information than was considered by IPCC (1996a) and more directly involved the peak world expertise on tropical cyclones.

The major conclusions of this assessment are as follows:

- Long-term reliable data on tropical cyclone intensity and frequency (which are largely limited to the North Atlantic and Western North Pacific regions) show substantial multidecadal variability but there is no clear evidence of any long term trends in number, intensity or location.
- Attempts to extend this record through analysis of geological and geomorphological records to establish a paleoclimate have so far not produced definitive results.
- Recent thermodynamic modelling that attempts to estimate the maximum potential intensity (MPI) of tropical cyclones in present climate has shown good agreement with observations (e.g. Holland 1997).
- Application of MPI techniques to changed climate indicates the MPI of cyclones will remain the same or undergo a modest increase of up to 10 to 20% at the extreme end of the scale.
- The broad geographic regions of cyclogenesis and therefore also the regions affected by tropical cyclones are not expected to change significantly.
- The region of cyclogenesis is unlikely to expand with the 26°C isotherm the 28°C isotherm is likely to become the new proxy reference temperature under present scenarios, because not just the sea surface but the whole of the atmosphere will be warming. The very modest available evidence points to an expectation of little or no change in global frequency.
- Regional or local frequency of occurrence may however change substantially, in either direction, because of the dependence of cyclone genesis and track on other phenomena (e.g. ENSO) that are not yet predictable.

While the above assessment provides some reassurance against markedly changed threats from tropical cyclones, it must be appreciated that reliable design criteria for coastal and ocean structures throughout Australia are still very limited. Much more work is needed to quantify these existing design risks, irrespective of future climate change scenarios.

#### **Mid-Latitude Weather Systems**

IPCC (2001b) notes that there is no compelling evidence to suggest that the characteristics of extratropical storms have changed and that there is little agreement between models

The popular belief that the region of cyclogenesis will expand with the 26° C isotherm is a fallacy. Maximum potential intensity will remain the same or undergo a modest increase... (Henderson-Sellers et al. 1998). concerning future changes. In the regional sense, some numerical studies (e.g. McInnes et al. 1992; Hopkins and Holland, 1997) have attempted to explore possible changes to the behaviour of severe low pressure systems such as "east coast lows".

Notwithstanding weak evidence for possible changes in frequency or severity of intense large scale storms, there may be slight shifts in the mean seasonal synoptic patterns that could affect regional wind speeds and directions. This in turn could change the distribution of the wave-energy flux presently shaping the coastline. As explored by Gordon (1988), when combined with sea level rise, these more subtle changes may have a significant impact on the stability of coastal margins. Some potential evidence for changes in long term significant wave height is presented in Cox and Horton (1998).

# 2.3 Impacts, Adaptation and Vulnerability to Climate Change

### 2.3.1 General

These issues are addressed by Working Group II of the IPCC, with IPCC (1996b) focussing on a three tiered approach to the problem, viz.

- 1. How *sensitive* a particular system might be to climate change.
- 2. How adaptable that system appears to be, and
- 3. How vulnerable the system ultimately is to climate change.

The IPCC has also developed a common basis for expressing the uncertainty of the modelled projections:

- High Confidence wide agreement based on multiple findings and independent assessments
- Medium Confidence where there is consensus but not total agreement on outcomes
- Low Confidence having high uncertainty or lack of consensus, or basic information

### 2.3.2 The Oceans

Oceans occupy about 70% of the earth's surface. They provide an important component of the climate system, due to their role in controlling the distribution and transfer of heat and  $CO_2$  and in the transfer of freshwater back to the continents as precipitation. The oceans function as regulators of the Earth's climate and sustain planetary biogeochemical cycles. They have significant capacity to store heat and are the largest reservoir of the two most important greenhouse gases - water vapour and carbon dioxide. About 60% of the Earth's radiative energy from the Sun is received by the oceans, 80% of that being absorbed within the top 10 m.

Winds and waves mix down a seasonal surface layer of nearly uniform temperature, salinity and other properties that extends to tens of metres in the tropics and to several hundred metres in higher latitudes (the so-called upper thermocline). The permanent (lower) thermocline lies below the seasonal surface layer, down to about 1000 m. While wind-driven circulation dominates the upper ocean mixing, manifesting as basin-scale gyres and intensive western boundary currents, the deeper ocean circulation is controlled by thermohaline processes (density currents). The lower thermocline and abyssal ocean represents nearly 90% of the volume of the oceans and most of this water is colder than 5°C. The oceans contain about 60 times more carbon than the atmosphere and variations in atmospheric carbon dioxide could result from even minor changes in the ocean's carbon cycling process, which is related to the ocean circulation. Conversely, carbonate chemistry dictates that the ocean is not an especially efficient sink for human-induced increases in atmospheric carbon

dioxide concentrations. Sea ice covers about 11% of the ocean and affects surface reflection of energy, salinity and ocean-atmosphere thermal exchange.

In the oceans, climate change will be accompanied by changes in temperature, circulation, sea level, ice coverage, wave climate and possibly extreme events:

- Sea surface temperature (SST) increases are likely to be less than air temperature increases and to lag continental air temperature increases by as much as 10 years, before rising at a similar rate.
- Changes in SST gradients are expected to lead to a decrease in trade wind intensity, a reduction in strength of upper ocean currents and a decrease in areas and intensity of upwelling.
- Because of a lack of basic understanding of the present interactions, it is still premature to project the behaviour of ENSO events for different climate changes.
- Available estimates of sea level changes are rather preliminary but will likely occur due to
  ocean thermal expansion, changing volumes of polar and glacial ice sheets, dynamical
  ocean circulation effects, altered wind and weather patterns and differences in regional
  ocean density.
- Even the lower estimates of sea level rise are about 2 to 4 times the rate of sea level rise experienced in the last 100 years.
- Projected changes in climate should produce large reductions in the extent, thickness and duration of sea ice.
- Present numerical weather models are not yet capable of objectively estimating whether there is likely to be an increase in the frequency or intensity of severe tropical cyclones [refer Section 2.1.4].
- Some corals are likely to be adversely affected through increased SST and possibly UVB exposure due to ozone depletion, leading to increased bleaching and a reduction in coral production.
- Increased loading of land-based pollutants is expected as a result of increased precipitation and atmospheric transport.

### 2.3.3 Coastal Zones and Small Islands

It is estimated that 50% - 70% of the global human population (86% of Australians) live in the coastal zone, where important socioeconomic activities include resource exploitation (living and non-living), industry and commerce, infrastructure development, tourism, recreation and nature conservation. In most coastal nations, a considerable part of gross national product is derived from activities connected with the coastal zone. Many coastal problems presently being experienced worldwide can be attributable to the unsustainable use and unrestricted development of coastal areas and resources. Climate change is likely to pose an additional stress on these areas, with sea level rise and possible changes in the frequency and intensity of extreme events being of prime concern. In addition to the physical changes that are possible, climate change has the potential to significantly affect coastal biological diversity through alteration of habitat.

The following are the major aspects of concern to the coastal zone and small islands:

 Any changes in sea level are expected to vary from the global mean over regional and local scales due to (i) vertical land movement e.g. subsidence, upheaval and (ii) dynamical ocean effects due to circulation patterns, wind and pressure distributions and density differences. These are effects that already dictate global variation in mean sea level but the possible changes caused by a warming climate are unknown at this stage.

86% of Australians live in the coastal zone (RAC 1993).

In the oceans.

changes in

temperature, circulation, sea

climate change will

be accompanied by

level. ice coverage,

wave climate and

possibly extreme

events.

- A 2°C change in mean SST would mean that values presently considered anomalous (of the order of peak ENSO fluctuations) could well be normal occurrences, with resultant long-term stress on natural ecosystems that are unable to effectively adapt.
- Increased precipitation is expected throughout the year in high-latitudes and during the winter in mid-latitudes, possibly increasing coastal deposition rates. Some increase in Asian monsoon rainfall is also predicted.
- Changes in storm patterns or general shifts in mean weather conditions (windiness, wave energy and direction) are not able to be reliably estimated at this time but could have significant long term impacts on coastal stability and alignments.
- Any potential increase in the frequency, magnitude or extent of very severe weather systems such as tropical cyclones would severely impact coastal regions, with the potential for enormous loss of life and devastating impacts on coastal ecosystems and morphology. Fortunately, the present projections show a low likelihood of significant changes occurring to these systems. Low reef-fringed islands may also be especially susceptible to any increase in the magnitude of wave-induced setup (e.g. Gourlay 1997).

The possibility of sea level rise alone forms a basis for considering a number of major impacts for the coastal zone, such as:

- inundation and displacement of wetlands and lowlands
- eroded shorelines
- increased coastal flooding by storms
- · salinity intrusion of estuaries and aquifers
- · altered tidal ranges, prisms and circulation in estuarine systems
- changed sedimentation patterns
- decreased light penetration.

While it is instructive to devise relatively simple models of land-sea boundary shifts based on water level changes alone, the actual impacts of sea level rise at specific locations are likely to be very complex. For example, the responsiveness of geomorphological and ecological processes will largely dictate the local outcomes, necessitating a consideration of the specific coastal environments that are at risk, e.g.

#### Sedimentary coasts, sandy beaches, barriers and dunes

About 20% of the world's coasts meet this general classification (24% of Australia). The short to medium term dynamics of these types of coasts have been extensively studied by coastal engineers and a range of modelling tools are available. In spite of this it still remains an area of considerable uncertainty in quantitative terms. In the longer term, availability and rate of sediment supply remain largely unknown and geological timescale analyses (e.g. Holocene stratigraphical reconstructions) represent relatively slowly varying scenarios relative to present sea level rise predictions.

It is likely that under future sea level rise, there will be a tendency for currently eroding shorelines to erode further, stable shorelines to begin to erode and accreting coasts to wane or stabilise.

#### Deltaic coasts, estuaries and lagoons

Deltaic coasts are particularly susceptible to any acceleration in the rate of sea level rise and storm frequency and intensity. Most major delta regions of the world are

It is likely that under future sea level rise, there will be a tendency for currently eroding shorelines to erode further, stable shorelines to begin to erode and accreting coasts to wane or stabilise. already subsiding through consolidation of sediment, have highly developed infrastructure and are heavily populated. Submergence is clearly likely under an increasing sea level scenario and progradation of deltaic coastlines will likely be curbed through increased erosion, except in those areas where sediment supply may increase due to increased precipitation.

In river and estuary systems changes in mean water level will affect tidal propagation (range and prism effects) and changed circulation patterns are possible that will modify sedimentation processes. Saltwater penetration will increase and may have adverse impacts on freshwater extraction from some coastal aquifers.

#### **Coastal wetlands**

Coastal wetlands are normally associated with deltas and estuaries. Any loss of sediment supply and increase in wave energy will therefore have impact on these environments in a significant way. Loss of seaward boundaries of salt marshes and mangrove forests may result in major loss of ecosystem and natural foreshore buffering where it has been backed by land-based construction for flood protection or other defences. In still natural environments there may be some opportunity for landward migration at a rate commensurate with sea level rise, depending on the sediment supply.

The behaviour of seagrasses, which extend sub-tidally, is less well predicted.

#### Coral reefs and reef islands

Coral reefs and reef islands appear especially susceptible to climate change and associated sea level rise. The erosion of the coastline, inundation and flooding of low lying areas and seawater intrusion into groundwater lenses will all act to reduce the habitability of such regions. However, individual responses are likely to vary greatly as a result of sediment availability, present freeboard, aquifer characteristics and general resilience of the natural systems.

Normal rates of coral growth are thought sufficient to keep pace with projected rates of sea level rise and in some situations may even benefit from an increased water level. It is also conjectured that coral biota may be able to adjust to the projected rate of water temperature increases without succumbing to bleaching effects. However, reduced resilience caused by UVB increases may restrict this ability. Additionally, many coral reef areas are already thought to be adversely affected by rainfall and urban runoff, which may increase in some areas under a changed climate.

## 2.4 Possible Response Strategies

#### 2.4.1 Adaptation Options

The IPCC (1996c) process identified the four major areas of concern regarding sea level rise as:

- Inundation and increased flooding of low-lying islands
- · Inundation and increased flooding of large parts of densely populated deltaic areas
- · Loss of coastal wetlands, their ecosystems and protective physical buffering
- Erosion of developed sandy coasts

There are potentially a wide array of adaptation options that can be employed in response to actual climate change threats. The IPCC presents a simple set of three strategy options, namely *retreat, accommodate or protect,* which serve to illustrate the basic range of possible responses:

The options: Retreat... Accommodate... or Protect ...

#### Retreat

Emphasis is on abandonment of land and structures in highly vulnerable areas and resettlement of inhabitants, e.g.:

- Preventing development in areas near threatened coastal areas
- · Conditional approvals and phasing-out of development
- Withdrawal of government subsidies

#### Accommodate

Emphasis is on conservation of ecosystems harmonised with the continued occupancy and use of vulnerable areas and adaptive management responses, e.g.:

- Advanced planning to avoid worst impacts
- Modification of land use, building codes
- · Protection of threatened ecosystems
- Strict regulation of hazard zones
- Hazard insurance

#### Protect

Emphasis is on defense of vulnerable areas, population centres, economic activities, infrastructure and natural resources, e.g.:

- Hard structural options such as dykes, levees, flood barriers sea walls, revetments, groynes
  - saltwater intrusion barriers
- Soft structural options such as
  - beach nourishment dune restoration wetland creation littoral drift make-up afforestation

The choice of adaptation will vary greatly depending on the circumstances of the threat, the vulnerability of the region and the capacity of the responsible authority or nation. Adaptation can exploit the fact that coastal infrastructure is rarely static and will undergo major refurbishment or replacement on time scales of 25 to 30 years, thus providing many opportunities to adapt structurally. However, early allowance in design, development approvals and planning will significantly reduce the total cost to the community and provide sustainable or even enhanced environmental outcomes. It should be noted though, that sea levels might continue to rise above those predicted by present day scenarios.

#### 2.4.2 Implementation

The threats of possible climate change upon the coastal zone have similar implications to the more direct impacts of human development pressures on the coastal environment, which are already measurable through increased pollution, loss of habitat or altered ecosystems.

The evolving philosophy of Integrated Coastal Zone Management (ICZM) already has the

capacity to provide appropriately managed and planned solutions to these direct human impacts and the indirect threats of climate change. ICZM can provide an environment for comprehensive assessment, setting of objectives, planning and management of coastal systems and resources, while taking into account traditional, cultural, and historical perspectives and conflicting interests and uses (IPCC 1996b).

Some of the essential prerequisites for effective ICZM are:

- · initial leadership for the planning process
- · provision of institutional arrangements
- · technical capacity, and
- · management instruments and tools

A proactive approach to ICZM is a key component for accommodating the long term effects of climate change and NCCOE (2004) provides advice on such matters within the overall context of ecologically sustainable development of the coastal zone. The coastal and ocean engineer has the technical capacity and practical approach necessary to ensure effective planning methodologies are adopted within this context, thus providing an essential leadership role.

There are a number of references that may provide additional guidance on these and related matters, e.g. Burby and Nelson (1991) for US experience and approaches, McLean and Mimura (1993) discuss vulnerability assessment methodologies, Hoesner and Chung (1997) address natural disasters, Watts (1997) gives a reasonably comprehensive engineering overview of climate change and May et al. (1998) presents an Australian guide for coastal vulnerability studies. Some economic analyses and implications are also available, e.g. Barth and Titus (1984), Titus (1984), Nicholls et al. (1995). JCR (1995) and Milliman and Haq (1996) present numerous case studies for major delta regions such as Thailand, India, Bangladesh, China, Egypt, Italy, Africa, Europe, South America, and the USA. Wind (1987) and Peerbolte (1993) provide policy analysis and modelling strategies.

# 3. Australian Regional Projections and Impacts

# 3.1 General

CSIRO Atmospheric Research provides the majority of climate change assessment and prediction capability for Australia, although other organisations such as the Bureau of Meteorology Research Centre (BMRC) and Macquarie University Climatic Impacts centre are also very active. Many more organisations contribute to scientific assessment of impacts, these include the National Tidal Facility (NTF) (previously of Flinders University of South Australia, now a part of the Bureau of Meteorology), various Commonwealth and State government departments (typically with Environment or Primary Industry responsibilities) and numerous university-based researchers and some private consultants. The Commonwealth Government coordinating body for climate change matters is the Australian Greenhouse Office (AGO), within the Department of Environment and Heritage. More than \$35M has been expended by the AGO on greenhouse related scientific research since 1993.

The most recent regional climate change projections for Australia at this time are summarised in CSIRO (2001b), with a parallel impacts statement (CSIRO 2001c). As the science of climate change is continually changing, the latest published sources should always be sought for use in any detailed studies.

# 3.2 National Projections

CSIRO projections for Australia indicate possible annual average surface air temperature increases between 0.4 to 2.0°C by 2030, increasing to 1.0 to 6.0°C by 2070, being highly dependent on location. Total projected rainfall trends also vary considerably across the continent with changes up to  $\pm 20\%$  by 2030 and  $\pm 60\%$  by 2070, with an overall tendency being for decreases. In spite of this an increase in intensity of heavy rainfall events is also predicted. There are no specific regional trends for tropical cyclone effects that are at variance with Hendersen-Sellers et al. (1998). Unlike temperature and precipitation projections, CSIRO (2001b) does not make a regionally specific projection of sea level rise, although the IPCC findings point to a lessened impact in our region, and available regional measurements appear somewhat lower than global averages. All these regional projections must still be viewed within the context that, relative to their assessed global performance, climate models are considered to still have reduced skill at subcontinental scales.

Australia has a strong research background in climate change and associated oceanographic and atmospheric phenomena. For specific topics work by, for example, Rintoul et al. (1996) on ocean processes and sea level; Nicholls (1993) and Smith et al. (1997) on ENSO and SST; McInnes et al. (1992) and Hopkins and Holland (1997) on east coast lows and rainfall; Whetton et al. (1993) on flooding; Evans et al. (1994) on tropical cyclone rainfall, may be relevant to a specific impact assessment. Walsh and Pittock (1998), Walsh and Katzfey (2000), Walsh and Ryan (2000) consider possible changes in regional tropical cyclone characteristics but these findings are not fully accepted by the IPCC assessment.

For reasonably general overviews of impacts and vulnerability, Pearman (1988) and its update of Bouma et al. (1996) are useful compendiums. These include, for example, preliminary engineering assessments by Stark (1988) highlighting the essential issues; geomorphological perspectives by Short (1988) and Chappell et al. (1996) on identifying regional inundation

zones; Kay et al. (1996b) summarising ICZM approaches of the various States and Gordon (1988) exploring coastal recession impacts. Pittock and Jones (1998) look at possible adaptation strategies, Pittock et al. (1998) consider climate hazards and responses and Basher and Pittock (1998) present the regional issues relevant to IPCC (1996a).

# 3.3 Regional Projections

A wide range of vulnerability and impact studies have been undertaken for various areas of Australia and the South Pacific over the past ten years. Some early studies that predate even IPCC (1996a) must be reinterpreted in terms of the revised projections but otherwise still contain useful guidance on some specific regional issues. The majority of studies have been undertaken by and for State and Commonwealth authorities through research grants under the Australian Greenhouse Office and also with specific State funding.

A number of regional climate change projection reports are also available, which are derived from the overall Australian view but with localised commentary, e.g.:

- CSIRO (1998a) for northern Australia
- NSWEPA (1998) and CSIRO (1998b) for New South Wales
- CSIRO (2001a) for Queensland
- VICEPA (1996) for Victoria

Interested readers should always check for the availability of the most recent updates to these publications, which can become outdated over time.

In regard to specific coastal vulnerability issues, Waterman (1996) summarises a number of studies undertaken for the Commonwealth Department of Environment, Sport and Territories from 1994 to 1996. These are:

#### **Northern Territory**

- Darwin; Van der Sommen (1996)

- Alligator Creek; ERISS (1996)

#### Queensland:

- Mackay; DEH (1996)

#### New South Wales:

- Batemans Bay; May (1996)

#### Victoria:

- Gippsland Lakes; L&T (1996)

- Port Phillip Bay; WC-VIMS (1996)

#### Tasmania:

- Tasmania; TASDELM (1996)

#### South Australia:

- Spencer Gulf ; MGCES (1996)

#### Western Australia:

- Perth; Kay et al. (1996a)

The South Pacific Regional Environment Programme (SPREP), with UNEP funding, has

Some early studies

that predate even

IPCC (1996a) must

be reinterpreted in

terms of the revised projections ...

been especially active in preparing site specific climate change assessments for a number of island groups (e.g. Hay and Kaluwin 1992). These assessments tend to concentrate on ecological and cultural impacts rather than physical issues. The South Pacific Applied Geoscience Commission (SOPAC) has also been active in this area and, through various publications, provides useful information that may assist in coastal protection options. Henderson-Sellers (1996) provides an overview of issues and possible adaptive options confronting Oceania in general. SPREP (2000) provides a relatively recent update of Pacific Island issues, within which Mitchell et al. (2000) discuss preliminary sea level monitoring results.

It must be emphasised that many of the vulnerability studies done to date demonstrate the need for very specific local consideration of the possible effects of climate change. To assist in locating studies that may be of relevance, Section 8 provides a list of published resources on a regional basis, largely current to *circa* 1998. These have been assembled from a variety of sources, not necessarily related directly to climate change, but rather identify other related studies or sources of data or investigations.

# 4. Engineering Impact Assessment

# 4.1 Scope

Coastal and ocean engineering covers a wide range of activities, often with practitioners developing further expertise in specific areas. The following guidelines have attempted to encompass this wide range, characterised by the summary in Table 2 below, which is classified according to the operating environment, i.e. offshore, nearshore, beach and shoreline, and estuary and tidal lake. It is possible that the same activity, for example a marina, may require a different response to climate change impacts depending on the environment in which it is constructed.

Offshore (>60m)	Nearshore	Beach and Shoreline	Estuary and Tidal Lake
Offshore (>60m) Anchor Systems Deepwater Mining Ocean Outfalls Pipelines Structures - Fixed - Floating Risers Submarine Cables Subsurface Facilities Tethered Systems Towing Systems	Aquaculture Coral Reef Environments Breakwaters – Fixed – Floating Dredging and Disposal Extractive Industry Marinas Marine Parks	Beach and Shoreline Artificial Surfing Beaches Beach Management Beach Nourishment Beach Stability Boating Facilities Coastal Zone Management Development - Commercial - Industrial - Industrial - Infrastructure - Residential - Tourist Groynes Marinas Reclamation Revetments Sand By-Passing Sediment Transport Structures - Fixed - Floating Vegetation Protection	
	Underkeel Clearance	0	Stormwater Disposal

#### Table 2. Coastal and ocean engineering activities that may be affected by climate change

# 4.2 Methodology

The recommended methodology for assessment is to consider the relative likely importance of changes to the key environmental variables that have been the subject of climate change scenario modelling, and then to consider possible secondary effects that may flow from these primary changes.

The key environmental variables are considered to be:

- mean sea level
- ocean currents and temperature
- wind climate
- wave climate
- rainfall / runoff
- air temperature

These key climate change variables are listed in Table 3 together with a summary statement of the respective climate change scenario for Australia, as discussed in previous sections.

The methodology then considers the potential impacts of changes in these primary variables to a selection of secondary variables of relevance to coastal and ocean engineering. The adopted secondary variables (also perhaps termed *process* variables) are presented in Table 4. The likely interactions between the primary and secondary variables are explored in Table 5 for guidance. Those interactions assessed as being particularly significant have been shaded to assist interpretation of the table.

In most instances an experienced coastal and ocean engineer will be able to quantify the climate change to secondary variables through knowledge of the physical interactions. However in some instances, e.g. coastal recession due to sea level rise, interactions may be highly complex and established procedures may not be appropriate to the site or may provide little more than guidance. In such instances the professional engineer will need to exercise judgement in testing for sensitivity and applying safety factors to take account of uncertainty. A suggested procedure for making these necessary assessments follows below.

# 4.3 Application

Given the present uncertainties regarding the impact of climate change on factors affecting coastal and ocean engineering the recommended approach is one of combined risk and sensitivity analysis. Figure 6 provides a flowchart summary of the following recommended procedure for engineering assessment:

### Step 1: Specify the Design Life or Planning Horizon

This is a decision taken in consultation between the engineer and the client, based on advice from the engineer regarding practicality, serviceability and cost of the envisaged facility and the client requirement for level of performance, term of operation and budget. The design life of the facility, or planning horizon of the activity, should be understood and agreed by all stakeholders because it underpins the design philosophy and may fundamentally control the selection of material, methods and expertise. Potential climate change considerations may influence this decision.

#### Step 2: Examine the Consequences of Failure

The impact of the possible "failure" of the facility (e.g. structure, process or management strategy) will have direct and indirect consequences and should be assessed in terms of primary risk outcomes as issues of:

- Cost
- Safety, and
- Environment

The design life of the facility or planning horizon of the activity ... underpins the design philosophy and may fundamentally control the selection of material, methods and expertise.

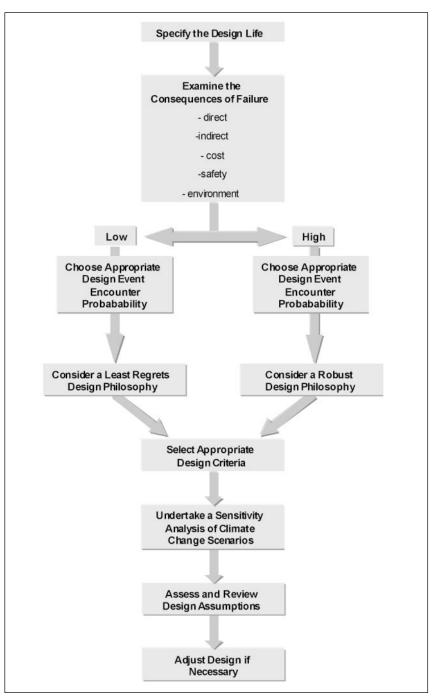


Figure 6. Recommended engineering impact assessment procedure.

Key Variable	Code	Australian Scenario	Comment		
Mean Sea Level	К1	Projected range of sea level rise (m): Year 2030 2050 2100 Min 0.03 0.05 0.09 Central 0.08 0.15 0.48 Max 0.16 0.30 0.88 (See Figure 5)	Global average sea level rise scenarios must be converted to estimated local relative sea level movement for each site. Local effects due to changing dynamic heights may also vary.		
Ocean Currents and Temperature	K2	No quantitative or qualitative models are available for coastal regions of the continent. Important to differentiate between currents driven by major circulation e.g. East Australian or Leeuwin Current and atmospherically forced currents such as storm surge or coastally trapped waves. Tidal currents will vary in shallow waters with sea level rise. SST increase similar to air temperature increase.			
Wind Climate	КЗ	<ul> <li>Largely qualitatively:</li> <li>Trade winds may be weaker</li> <li>Westerly wind stream may move further south</li> <li>More quantitatively:</li> <li>Maximum potential intensity of tropical cyclones may increase by 10% to 20%</li> <li>Regions of cyclogenesis and the regions affected by tropical cyclones are not expected to change significantly</li> </ul>	Climate models do not at this stage provide firm predictions for any key meteorological features such as the timing, intensity or location of the tropical monsoon, mid-latitude systems, the subtropical anti- cyclone belt or ENSO related effects. Localised effects remain possible.		
Wave Climate	K4	Regional wave climate will alter if regional wind patterns change. Seasonal wave direction would be affected if the mean latitudinal position of major weather systems alone were changed. Other factors will be site specific and determined by the local sea level rise and bottom topography.	Not possible to formulate a general scenario as effects could vary. Intensity changes are subject to qualifications in regard to the wind climate.		
Rainfall / Runoff	К5	Rainfall changes:         By 2030:         -       Summer -10% to +10% overall         -       Winter -10% to + 5% overall         By 2070:       -         -       Summer -35% to +35% overall         -       Winter -35% to +10% overall	Increases are possible in the frequency of occurrence of high- intensity precipitation events.		
Air Temperature	К6	Rise in land surface air temperature: By 2030: - 0.4 - 2.0 °C most areas By 2070: - 1.0 - 6.0 °C most areas	Increases are possible in the frequency of occurrence of extremely high temperatures with reductions in the frequency of very low temperatures.		

Table 3. Key environmental variables and climate change scenarios

Secondary Variable	Code
Local Sea Level	S1
Local Currents	S2
Local Winds	S3
Local Waves	S4
Effects on Structures	S5
Groundwater	S6
Coastal Flooding	S7
Beach Response	S8
Foreshore Stability	S9
Sediment Transport	S10
Hydraulics of Estuaries	S11
Quality of Coastal Waters	S12
Ecology	S13

Table 4. Secondary or process variables

The "failure" of the facility will depend on its inability to perform its intended function. This may have several dimensions for a complex facility and does not always simply imply "structural failure beyond repair", although that might be the ultimate consequence. The "failure" needs to be linked to the possible combination of factors or events (natural or manmade) that would cause the defined failure condition(s) to occur.

### Step 3: Select the Design Event Encounter Probability

This quantifies the acceptable risk of "failure" of the facility for the duration of the chosen design life or planning horizon and should be based on the assessed consequences of failure, in its various dimensions. All stakeholders should agree to the adopted level of risk and understand its potential consequences. For complex facilities, differing encounter probabilities might be entirely appropriate for separate elements of the design, provided the interactions are properly assessed.

Where the consequence of failure has been assessed as relatively "low", it might be appropriate to consider a relatively higher probability of encounter with the design failure condition(s). This would only be appropriate where the risk to life, property and the environment is very low and where there is a significant overall cost advantage in this strategy (remembering that repair and replacement costs will also need to be assessed).

Where the consequence of failure has been assessed as relatively "high", it would be appropriate to consider a relatively lower probability of encounter with the design failure condition(s). This may be dictated by a primary safety concern, a significant environmental impact or an unacceptable business loss condition.

#### Step 4: Follow an Appropriate Design Philosophy

For a low consequence of failure (high encounter probability) the appropriate design philosophy might be characterised by a least regrets strategy. This acknowledges that "failure" of the facility will probably occur and the design process should concentrate on amelioration of the impact of failure from the most sensitive aspect(s), e.g. the ability/cost to repair or replace or the environmental impact, whether visual or actual.

The possibility of "failure to perform intended function" must consider cost, safety and environmental issues.

For a high consequence of failure the appropriate design philosophy might be characterised by robustness.

	Mean Sea Level	Ocean Currents & Tempera- ture	Wind Climate	Wave Climate	Rainfall / Runoff	Air Tempera- ture
	K1	K2	K3	K4	K5	K6
Local Sea Level S1						
Astronomical Tide	Major direct effect espe- cially in estuar- ies and tidal lakes	Minor effect	No direct effect	No effect	No effect	No effect
Barometric Tide	Minor effect	Minor density effect	Weather sys- tem track re- lated	No effect	No effect	Indirect through air pressure
Storm Surge	Greater over- land penetra- tion; possible bathymetry effect changes	Indirect effect of prevailing currents, SST	Major direct effect	Minor effect	Flood coinci- dence effects	No direct effect
Wave Setup	Altered refrac- tion	Minor effect	Indirect effect	Major direct effect	Possible flood interaction	No effect
Trapped Coastal Waves	Minor effect	Unknown ef- fects	Dependent on changes in major wind systems	Minor effect	No effect	No effect
Tsunami	Minor effect	Minor effect	No effect	No effect	No effect	No effect
Local Currents S2						
Tidal Stream	Major direct effect in estu- aries and tidal lakes	Minor effect	No direct effect	No effect	No effect	No effect
Wind Driven	Direct effect	Minor effect	Direct effect	Minor effect	No effect	No effect
Wave Driven	Possible major direct effect in some shallow water regions, especially reefs	Minor effect	Indirect effect	Major direct effect	No effect	No effect
Density Driven	May be af- fected relative to natural sills in estuaries	Baroclinic ocean currents may be af- fected	May be af- fected by sur- face mixing	May be af- fected by sur- face mixing	May be im- pacted by runoff in estu- ary or near- shore areas	May affect stratification in nearshore areas
Prevailing	Minor effect	Major direct effect	Major direct effect	No effect	Minor effect	No effect
Storm Surge	Major direct effect in shal- low water re- gions	Minor effect	Major direct effect	Minor effect	No effect	No effect

## Table 5. Relationship between key and secondary variables

	Mean Sea Level	Ocean Currents & Tempera- ture	Wind Climate	Wave Climate	Rainfall / Runoff	Air Tempera- ture
	K1	K2	K3	K4	K5	K6
Local Winds S3						
Prevailing	No effect	Minor effect	Major direct effect	No effect	No effect	Minor effect
Sea Breeze Storm	Minor effect No effect	Minor effect Minor effect	Minor effect Major direct	No effect No effect	Minor effect No effect	Minor effect Minor effect
Storm	NO Ellect		effect on fre- quency, mag- nitude and possibly direc- tion	NO Ellect	NU ENECL	
Local Waves S4						
Wind Driven	Possible major effect in shal- low water re- gions	Minor effect	Indirect effect	Major direct effect	No effect	No effect
Prevailing Swell	Possible major effect in shal- low water re- gions	Minor effect	Indirect effect	Major direct effect, espe- cially direction and possibly period	No effect	No effect
Extreme	Possible major effect in shal- low water re- gions	Minor effect	Indirect effect	Major direct effect	Possible flood interaction	No effect
Effects on Structures S5						
Investigation	Minor effect	Changing statistics will affect determi- nation of de- sign criteria	Changing statistics will affect determi- nation of de- sign criteria	Changing statistics will affect determi- nation of de- sign criteria	Changing statistics will affect determi- nation of de- sign criteria	No effect
Design	Major direct effect	Minor effect	Major direct effect on forc- ing; possible changes to marginal prob- ability of failure	Major direct effect on forc- ing; possible changes to marginal prob- ability of failure	Major effect depending on location or purpose	Minor effect
Construction	Minor effect	Minor effect	May affect downtime	May affect downtime	Minor effect	Minor effect
Operation	Major effect for older struc- tures	Minor effect	May impact depending on function	May impact depending on function	Minor effect	Minor effect
Maintenance	Minor effect	Possible in- creased ma- rine fouling rates	Older struc- tures may need retrofit- ting or upgrade	Older struc- tures may need retrofit- ting or upgrade	Minor effect	Possible in- creased corro- sion rates
Removal	Minor effect	Minor effect	May affect downtime	May affect downtime	Minor effect	Minor effect

## Table 5. Relationship between key and secondary variables (continued)

	Mean Sea Level	Ocean Currents & Tempera- ture	Wind Climate	Wave Climate	Rainfall / Runoff	Air Tempera- ture
	K1	K2	K3	K4	K5	K6
Groundwater S6						
Level	Major direct effect	No effect	Indirect effect	Local setup changes may occur	Direct effect	No effect
Quality	Saltwater in- trusion possi- ble	No effect	No effect	Minor effect	Minor effect	
Quantity	Reduced stor- age	No effect	No effect	Minor effect	Affects phreatic sur- face	Minor effect
Flow rate	Hydraulic gra- dients may reduce	No effect	No effect	No effect	Affects hydrau- lic gradient	Possible minc increase in transmissabil- ity
Coastal Flooding S7						
Level	Major direct effect; tailwater increases on river runoff and site drainage	No effect	Major direct effect via in- tensity of se- vere storms and cyclones	Major direct effect via wave setup at open sites	Direct effect via changes in intensity of rainfall	No effect
Frequency	Major direct effect	No effect	Major direct effect via fre- quency of severe events	Major direct effect via wave setup at open sites	Direct effect via changes in frequency of rainfall	No effect
Extent	Major direct effect	No effect	Major direct effect via dis- tribution of severe events	Major direct effect via wave setup at open sites	Direct effect via changes in rainfall distri- bution	No effect
Beach						
Response S8						
Alignment	Effects due to changed wave refraction, diffraction and attenuation	Possible wave- current refrac- tion interaction	Aeolian trans- port proc- esses; dune stability; vege- tation changes; long- shore currents	Wave direc- tional energy changes	Estuary dis- charges; storm-water drains; sea- sonal changes in sediment supply; vegeta- tion; dune stability	Vegetation changes; sea breeze effects
Recession or Progradation	Recession with sea level rise	Possible wave- current refrac- tion interac- tion; changes in longterm sediment sup- ply	Net change to sediment budget possi- ble	Wave direc- tional energy changes	Estuary dis- charges; storm-water drains; sea- sonal changes in sediment supply; vegeta- tion; dune stability	Vegetation changes; sea breeze effects

	Mean Sea Level K1	Ocean Currents & Tempera- ture K2	Wind Climate K3	Wave Climate K4	Rainfall / Runoff K5	Air Tempera- ture K6
	NI.	N2	Ŋ	N4	КJ	NU
Fluctuations	Effects due to changed wave refraction, diffraction and attenuation; wave setup and runup	Possible wave- current refrac- tion interaction	Changed time- scales for beach recov- ery	Wave direc- tional energy changes; changed time- scales for beach recov- ery	Estuary dis- charges; storm-water drains; sea- sonal changes in sediment supply; vegeta- tion; dune stability	Vegetation changes; sea breeze effects
Foreshore						
Stability S9						
Reef	Wave penetra- tion; changed coral regenera- tion rates	Changed coral nutrient supply	Wind gener- ated reef cur- rents	Changed im- pact energy; wave direction and setup	Changed coral growth rates	Changed coral growth rates
Entrance	Tidal prism changes; flow velocities; bar configuration	Minor effect	Changed near- shore currents affecting sedi- ment transport; aeolian trans- port	Changed litto- ral drift envi- ronment	Frequency of break-out; baseline flows	No effect
Dune	Groundwater level affecting stability, wave setup wave runup; in- creased wave exposure and beach fluctua- tions; possible dune overtop- ping	Minor effect	Changed dune shape, mobil- ity, vegetation, alignment; dune blowout	Changed scarp toe erosion; wave runup and overtop- ping; break through	Elevated phreatic levels; pore pressure changes; vegetation	Vegetation changes
Bluff (plus indurated sand)	Exposure of sediments to water based erosion	Minor effect	Changed vegetation; direct wind erosion	Changed scarp toe erosion; wave runup	Changed pore pressures; vegetation; weathering; frictional prop- erties	Vegetation changes; weathering
Cliff	Exposure of sediments to water based erosion	Minor effect	Changed vegetation; direct wind erosion	Changed im- pact energy; wave runup	Surface weath- ering; erosion	Vegetation changes; rate of chemical weathering
Sediment Transport S10						
Longshore	Changed dis- tribution of motive energy; breaker depth; wave refracted angle	Magnitude and duration of storm currents	Longshore wind gener- ated currents	Wave direc- tional energy changes; mag- nitude and duration of storms	May affect sources/sinks of littoral drift	Seabreeze effects

	Mean Sea Level	Ocean Currents & Tempera- ture	Wind Climate	Wave Climate	Rainfall / Runoff	Air Tempera- ture
	K1	K2	K3	K4	K5	K6
Onshore - Offshore	Changed dis- tribution of motive energy; breaker depth; wave refracted angle	Minor effect	Dune recovery times	Wave direc- tional energy changes; mag- nitude and duration of storms	May affect sources/sinks of littoral drift	Seabreeze effects
Aeolian	Minor effect	Minor effect	Major direct effect on dune mobility and direction	May affect quantity of available un- consolidated sand	Sand mobility	Seabreeze effects; vege- tation
Deepwater	Minor effect	Direct effect on transport	No direct effect	Major effect on mobilisation	Minor effect	No effect
Hydraulics of Estuaries S11						
Tides	Reduced fric- tion, increased tidal prism, increased heights and volumes	No effect	May influence tidal circulation patterns in some large shallow estuar- ies	May influence inlet character- istics	Changes in freshwater flows may influence tidal circulation	No effect
Wave Penetration	Increased wave penetra- tion	Minor effect	Minor effect	Wave direc- tional energy changes; mag- nitude and duration of storms	Possible wave blocking changes	No effect
Seawater Pene- tration	Major effect on upstream penetration of saltwater	No effect	Minor effect	No effect	Variable de- pending on freshwater flow events	No effect
Mixing	Increased exchange	No effect	Changed mix- ing character- istics	Changed mix- ing character- istics	Variable de- pending on freshwater flow events	No effect
Quality of Coastal Waters S12						
Offshore	No effect	No effect	Minor effect	Changed mix- ing and turbid- ity	Minor effect	No effect
Nearshore	No effect	Minor effect	Changed mix- ing and turbid- ity	Changed mix- ing and turbid- ity	Changes in entrance tur- bidity	No effect
Estuarine	Altered depths, greater ex- change; sea- water penetra- tion	Minor effect	Changed mix- ing and turbid- ity	Changed mix- ing and turbid- ity	Changes in salinity, strati- fication, turbid- ity	Minor effect o stratification and biological growth

	Mean Sea Level K1	Ocean Currents & Tempera- ture K2	Wind Climate K3	Wave Climate K4	Rainfall / Runoff K5	Air Tempera- ture K6
Ecology S13	Provid	ded as a general g	guide only - seek	specialist biologi	cal advice as nec	essary
Nekton and Plankton	No effect	Major effect on distribution	Changed mix- ing depths	Changed mix- ing depths	Localised sa- linity changes nearshore	No effect
Corals	Growth rates may not match sea level rise	Changed nu- trient supply	Minor effect	Wave direc- tional energy; magnitude and duration of storms	Localised sa- linity changes nearshore	Increased bleaching
Seagrasses	Shifting zona- tions; light penetration	Changed nu- trient supply	Mixing and turbidity may affect light penetration	Wave direc- tional energy; magnitude and duration of storms will favour rugged species	Localised sa- linity changes nearshore	Minor effect
Benthic	Shifting zona- tions; light penetration	Changed nu- trient supply	Mixing and turbidity may affect light penetration	Wave direc- tional energy; magnitude and duration of storms will favour rugged species	Localised sa- linity changes nearshore	No effect
Wetland	Species depth dependency effects	Changed nu- trient supply	Minor effect	Minor effect	Changes in salinity profile may have major effect	Evapo- transpiration rates
Terrestrial	Waterlogged root systems; raised salinity	No effect	Minor effect	Minor effect	Growth rates especially via groundwater	Evapo- transpiration rates
Avifauna	Nesting areas may be af- fected	Changed food sources	Minor effect	Minor effect	Minor effect	Minor effect

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For a high consequence of failure (low encounter probability) the appropriate design philosophy might be characterised by *robustness*. This aims to prevent "failure" of the facility by acknowledging the need for either a comfortable excess of design capacity (strength, displacement, resistance as appropriate) or the ability to subsequently adapt the design to suit changing climate change scen-arios throughout its lifetime (enhancement, retrofitting, raising as appropriate).

### Step 5: Select Appropriate Design Criteria

At this point it is necessary to identify the specific variables that may be affected by climate change. These will be evident from examination of the likely interactions between the primary and secondary variables in Table 5, as a function of the intended role and operation of the specific facility.

The design may then be prepared based on knowledge of existing climate conditions derived from the statistical analysis of measurements, hindcasting or simulation techniques as appropriate. Where insufficient knowledge exists relative to the assessed consequences of failure then additional studies are indicated in order to establish such knowledge. These additional studies should consider including the possible impact of existing climate trends, where appropriate.

The statistical description of the "failure" event will then normally involve an estimate of the likelihood of equalling or exceeding a given magnitude of that event. This is typically expressed as a function of the elapsed time of exposure to, or sampling of, the event process and requires selection of a design average recurrence interval (ARI or *return period*) and its associated threshold magnitude. Some designs may require further consideration of joint probability ele-ments such as water level and wave height. Conveniently, the above considerations can be combined through the concept of the "encounter probability" R, which greatly assists the decision making process (Borgman 1963).

The principal risk components of

Threat:	Average Recurrence Interval or Return Period	Т	У
Exposure:	Planning Horizon or Design Lifetime	Ν	У
Acceptability:	Risk of Encounter within that Lifetime	R	%
oon he related by:			

can be related by:

$$T = -\frac{N}{\ln[1 - \frac{R}{100}]}$$

...in the next 50 years there is a 5% chance that the 1000 year average recurrence level event or greater will occur ... This equation provides the appropriate average recurrence interval of the threat (T) to be considered in the design if, within the design life of N years, the acceptable risk of encounter (or possible failure at that level of threat) is chosen to be R. It is readily apparent from the summary of values presented below in Table 6, and shown graphically in Figure 7, that relatively high values of the average recurrence interval T will be required to limit the potential for failure of typical projects below a level of 10% over their lifetime. For example, if a structure is to have a 5% risk of encountering an event magnitude that is equalled or exceeded at least once during the next 50 years, then the design extreme event is one with a 975 year (say 1000 year) average recurrence interval. In fact, there is approximately a 64% chance of equalling or exceeding the 50 year recurrence interval magnitude in any consecutive period of 50 years. Expressed in another way, in the next 50 years there is a 5% chance that the 1000 year average recurrence level event or greater will occur, but a 64% chance that the 50 vear event or greater will occur. More sophisticated analyses may also be undertaken to examine the probability of more than one instance of the design event occurring within the design life or even a specific number occurring. The methods of Bea (1990), for example, also provide a useful analytical framework for structural reliability analysis.

% Risk of	Design Life or Planning Horizon in Years ( <i>N</i> )						
Encounter ( <i>R</i> )	1	10	20	50	100	500	
99	0.2	2.2	4.3	11	22	109	
90	0.4	4.3	8.7	22	43	217	
64	1.0	10	20	49	98	489	
50	1.4	14	29	72	144	721	
20	4.5	45	90	224	448	2241	
10	9.5	95	190	475	949	4746	
5	19	195	390	975	1950	9748	
1	99	995	1990	4975	9950	49750	



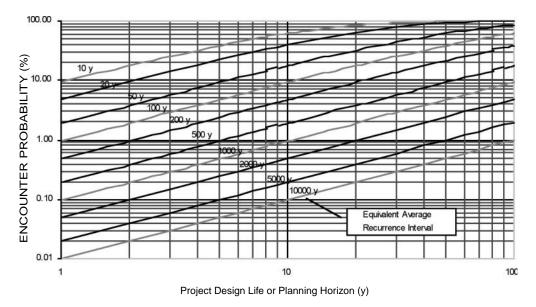


Figure 7. Relationship between encounter probability, design life and average recurrence interval.

While this method and its assumption of statistical stationarity has significant utility in allowing a rational consideration of risks, the changing climate condition will act to partly invalidate these assumptions over time. Accordingly, sensitivity testing is recommended as described below.

### Step 6: Undertake a Sensitivity Analysis

Establishment of a *design interaction matrix* should facilitate this process, based on the current best estimates of climate change scenarios presently available or where no estimates are available, on an appropriate test assumption. In practical terms this might involve adjusting the modal value of a statistical distribution within certain bounds (simulating a bias or

magnitude shift) or alternatively a change to the "slope" of the distribution (simulating a change in frequency of occurrence) or a combination of both effects. The degree of assumed change should reasonably relate to the published levels of possible climate change and consider "mean" and "upper" predictions. In many situations, rather than assuming a direct effect, it might be necessary to consider the "filtering" effects of a number of related processes, as for example embodied in Table 5. The statistical recombination of assumptions is preferred where possible to avoid unnecessary subjectivity at this stage of the assessment.

Appendix C provides a simple interaction matrix template that may assist in the preliminary assessment of climate change sensitivities to a particular design.

### Step 7: Assess and Review Design Assumptions

Examine the outcome of the sensitivity analysis with respect to the implied consequences and assess the suitability of the final design.

### Step 8: Adjust the Design if Necessary

Repeat assessment Steps 1 through 7 as appropriate to reassess design life, encounter probability or to increase robustness or adaptability to minimise future risk.

## 4.4 Example Assessments

The following detailed example impact assessments are provided for illustration of the method. The first case is of a complex offshore structure (fixed or floating), so as to illustrate the major aspects of the assessment methodology for a specific "engineered" facility. A second detailed example is provided in the next section for a nearshore tourism development where there is a greater reliance on "planned" facilities.

### 4.4.1 Detailed Example 1: Fixed or Floating Offshore Structures

#### **Design Life:**

The typical application for such structures would be for oil or gas extraction and processing, although some may support transport or tourist facilities.

For oil and gas situations, the design life is likely to vary primarily as a function of the expected production life of the reservoir (5 to 20 years). Typically however, advances in product recovery technology (directional drilling etc.) and subsequent field exploration have caused the original design lives of many offshore facilities to be exceeded. Often costly retrofits have then become necessary to accommodate the additional weight of expanded processing facilities or stiffening to extend the fatigue and corrosion resistance of the major structural elements. Small reservoir situations with unmanned or subsea facilities may justify a design life of 10 years or less, depending on project economics. Larger developments would typically extend to 20 years and, where further project expansion is likely, up to 40 years or more.

#### **Consequences of Failure:**

For the majority of offshore situations, the consequences of failure both direct and indirect will be very high. Direct effects would involve possible loss of life, loss of production and income, reinstatement costs and contractual defaults and penalties. Indirect effects could be potential environmental damage (e.g. oil spill), compensation costs for cleanup, claims made for interruption in product supply and loss of employment or damage to regional economies.

### **Design Encounter Probability:**

The discussion here is limited to considerations of environmental structural loading. Other

design elements, such as risk of human error, mechanical breakdown, fire or explosion will significantly influence the overall design process for oil and gas processing facilities. The process, structural, geotechnical and ocean engineers should adopt consistent design encounter assumptions.

Where loss of life as a result of failure is expected, a design event encounter probability range as low as 0.5% to 1% might be appropriate. This would require designing some crucial structural elements for environmental loadings with an average recurrence interval of between 2,000 and 10,000 years, depending on the chosen design life. Different encounter probabilities might be appropriate for other aspects of the design, e.g. loss of production might be tolerated at the 5% level or greater, depending on the cost and time to repair, contractual or labour issues etc.

### Design Philosophy:

A robust design philosophy should be considered for offshore facilities. The design concepts of ultimate strength and reserve strength ratio would generally apply, as presented for example in Bea (1990).

### Selection of Appropriate Design Criteria:

The necessary magnitude of the relevant criteria follows from the specification of design life and encounter probability, e.g. a 1% encounter in a 20 year life requires a 2,000 year average recurrence level magnitude. Where a single forcing element dictates the design, for example wind forcing, the design requirements might be satisfied by simply adopting the relevant (gust or mean) wind speed magnitude and direction (key variable K3) indicated by either data or, more likely, hindcast or simulated statistical analyses. However, for the normal offshore condition, extreme loadings do not occur in isolation but rather within complex storm events. The joint probability of environmental loading combinations will therefore often be an important consideration. In this case, the relative magnitude, phasing, dynamics and spatial variation over the structure of a number of environmental loadings (currents S2, winds S3, waves S4) becomes dominant. The structural response is then the sum total of these separate forcing functions combined often with dynamically variable resistance characteristics of the seabed (anchor attachment, pore pressures, pile skin friction etc.). The resulting statistical loading function(s) should then become the basis for the design rather than the separate independent incident (wind, wave or current) conditions.

### Sensitivity Analysis of Climate Change Scenarios:

At this point an interaction matrix should be constructed to isolate those design elements most likely to be affected by climate change. A template is provided in Appendix C, which has been reduced here as Table 7 to include only those combinations relevant to the offshore example, using Table 5 for guidance:

### Assess and Review Design Assumptions:

From Table 7, the principal impacts are seen to be local sea level, possible increased storminess with changes to local wind and wave climates (e.g. southern ocean, east coast low, monsoon, tropical cyclone) and perhaps some less critical operational matters.

Of these, sea level changes of the magnitudes presently predicted can and should be readily allowed for in a new design and at a relatively small overall cost. For existing structures, increased sea level will most noticeably cause inconvenience at tidal landings but this can be easily remedied. More importantly, a rising sea level decreases the underdeck wave clearance of fixed structures and may thereby significantly impact the encounter probability of failure. Retrofitting to increase structural strength or raise deck levels may then be a necessary option to maintain the original design risk of failure. Increased storminess is potentially much more complex than sea level changes and the design allowance may need to be varied depending on the situation. For example, a location presently near the edge of tropical cyclone influence may experience a significant change in risk if the extent of cyclone influence increases. For a location already in a tropical cyclone region, the relative impact may be minor. These interpretations should be referred to specialist coastal and ocean engineers with appropriate numerical and statistical modelling capability to provide recommended design allowances.

Offshore		Ocean				
Structure	Mean Sea	Currents &	Wind	Wave	Rainfall /	Air
	Level	Tempera-	Climate	Climate	Runoff	Tempera-
Example		ture				ture
Assessment	K1	K2	K3	K4	K5	K6
Local Sea Level S1	-design for increases over lifetime in re- gard to tide and wave clearance -potential for minor changes in barometric surge heights	- some minor changes to seasonal mean levels possible due to density changes	- some minor changes to seasonal mean levels possible	- no effect	- no effect	- minor effect
Local Currents S2	- no effect	- likely sea- sonal changes which might affect opera- tions -baroclinic currents may change	- possible major effect through in- creased storminess	- minor effect	- no effect	- no effect
Local Winds S3	- no effect	- minor effect	- possible major effect through in- creased storminess	- no effect	- no effect	- minor effect
Local Waves S4	- minor effect	- minor effect	- indirect effect	- possible major effect through in- creased storminess - possible changes in swell direction	- no effect	- no effect
Effects on Structures S5	- allowance must be incor- porated	- minor effect	- potentially major effect on loadings	- potentially major effect on loadings, fa- tigue	- no effect	- minor opera- tional or proc- ess changes
Sediment Transport S10	- no effect	- minor effect	- no effect	- possible increased scour around foundations, pipelines	- no effect	- no effect
Quality of Coastal Wa- ters S12	- no effect	- possible changes in oil spill destina- tions and travel times	- possible changes in oil spill destina- tions and travel times	- minor mixing effects	- no effect	- minor effect
Ecology S13	- no effect	- minor effect	- minor effect	- minor effect	- no effect	- no effect

Table 7. Example inter	action matrix for a	n offshore structure
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### 4.4.2 Detailed Example 2: Nearshore Tourism Development

### Design Life:

A typical design life of between 20 and 50 years might be adopted. This could depend upon the scale of the development, style of architecture, construction materials and overall economic plan. Given the harsh marine environment, maintenance costs might dictate a replacement cycle for some nonstructural marine facilities and fittings of generally not more than 15 years, but this should not reduce the design capacity within the overall project design life.

### Consequences of Failure:

This may have several dimensions. The first might relate to low probability storm-related damage to breakwaters, marinas, beaches, nearshore accommodation and infrastructure. This could result in possible loss of life, generally short term environmental damage and loss of income. The second dimension could relate to ongoing or operational matters such as beach and small craft amenity, wind and wave exposure, nearshore waters and groundwater quality, loss of desirable vegetation cover, potable water and the like. The major consequences of "failure" will likely be economic loss but environmental degradation could also occur and in some circumstances (e.g. storm surge) significant loss of life is possible without adequate warning or evacuation options.

### **Design Encounter Probability:**

If loss of life is possible, for example such as an isolated low lying island or causeway situation, then relatively low design encounter probabilities are again advisable (akin to the preceding offshore design example). Where access to higher ground is readily available and warnings of severe conditions are likely, a higher encounter risk might be acceptable. Parts of the facility might be designed for differing levels of risk whereby high capital cost or protecting infrastructure such as breakwaters, causeways, hotels, central offices (administration, catering, commercial) are exposed to relatively low levels of risk over the life of the project. Bungalow-style accommodation units from which patrons would be evacuated and where damage could be readily repaired might accept a moderate risk level. Non-hazardous stormwater overflow, roadways, sacrificial boardwalks, landings and other minor structures could accept an even higher risk where the consequences are minimal and the reinstatement costs are low. The adopted encounter probability levels may also be subject to legislative control in some areas and practitioners are expected to acquaint themselves with relevant regulations. The coastal and ocean engineer should liaise closely with the architect, builder, civil, structural, mechanical and electrical design engineers to ensure that consistent risk philosophies are being adopted across the project development.

### Design Philosophy:

For those aspects of the development essential to its ongoing operational viability and environmental health and safety, a robust design philosophy should be considered. This might affect the management of the beach amenity whereby adequate setback distances need to be specified to maintain a natural active beach buffer zone, restricted dune access and vegetation control. It might lead to the need for special design studies to optimise circulation within marinas, modelling of breakwater stability, checking groundwater characteristics etc.

For ancillary elements of the development a least regrets philosophy might be considered. This might apply to boat landings, boardwalks, stormwater outlets, navigation markers, dredged depths, bar stability etc. The expectation would be that any failure of these items would be unlikely to cause secondary damage.

### Selection of Appropriate Design Criteria:

The necessary magnitude of the relevant criteria follows from the specification of design life and encounter probability, e.g. a 5% encounter in a 50 year life requires a 1000 year average recurrence level magnitude design event. As in the example of the offshore structure, joint probability considerations will be important for the consideration of the occurrence of tropical cyclone storm surge, tide and wave setup on overland flooding, beach and breakwater stability. It can normally be assumed that wind and wave conditions will be closely correlated, i.e. those encountered conditions will have essentially the same average recurrence intervals. Specialist advice may be necessary on such matters if the development is found to be sensitive to the assumptions made during design.

### Sensitivity Analysis of Climate Change Scenarios:

The interaction matrix in Table 8, highlights the likely major areas that should be examined for their sensitivity to the adopted design.

### Assess and Review Design Assumptions:

The interaction matrix highlights sea level increases (K1), wind (K3) and wave (K4) climate changes as being the major concerns. These have impacts across a wide range of the secondary variables and the design philosophy would need to ensure that potential changes would have minimal effect on the development. This might require conservatism in critical design components such as breakwater design, harbour entrance, major buildings and setbacks etc.

## 4.5 Summary

The interaction matrix method is recommended here as an objective approach for considering the possible impacts of climate change in coastal and ocean engineering practice. Ideally, such an assessment should be a group process involving not just the relevant technical personnel but a broad group of interests and stakeholders. In 1991, this technique was developed from a series of workshops hosted by the NCCOE that involved a collective assessment from more than 20 practising coastal and ocean engineers, who worked through a range of typical projects (refer Appendix A). Practitioners are therefore urged to incorporate peer review into their quality assurance procedures wherever possible to ensure a critical and objective consideration of the possible impacts of climate change.

Nearshore		Ocean				
Tourism Development Example	Mean Sea Level K1	Currents & Tempera- ture K2	Wind Climate K3	Wave Climate K4	Rainfall / Runoff K5	Air Tempera- ture
Assessment	K1 - maior effect	- possible	K3 - possible	- wave setup	- possible flood	K6 - minor effect
Local Sea Level S1	in estuaries and tidal lakes - greater risk of storm surge inundation	minor changes in seasonal variability of water level	minor changes in seasonal variability of water level	could increase	interaction	
Local Currents S2	- major direct effect in estu- aries and tidal lakes	- possible changes to prevailing currents	- major direct effect	- possible major effect for barred en- trances	- possible flood interaction	- no effect
Local Winds S3	- no effect	- minor effect	- major direct effect	- no effect	- no effect	- minor sea breeze effects
Local Waves S4	- major effect in shallow water regions	- minor effect	- indirect effect	- major direct effect	- no effect, except possi- ble wave blocking during floods	- no effect
Effects on Structures S5	- major direct effect	- minor effect	- major direct effect	- major direct effect	- major effect depending on location or purpose	- no effect
Groundwater S6	- major direct effect on qual- ity and storage	- no effect	- no effect	<ul> <li>local setup</li> <li>may cause</li> <li>dynamic ef-</li> <li>fects</li> </ul>	- may affect phreatic sur- face	- minor effect
Coastal Flood- ing S7	- major direct effect on expo- sure, drainage, runoff	- no effect	- major direct effect via in- tensity and frequency of severe storms and cyclones	- major direct effect via wave setup at open sites	- direct effect via changes in rainfall distri- bution, inten- sity and fre- quency	- no effect
Beach Response S8	- major effect of recession with sea level rise - realignment	- minor effect	- possible changes to aeolian trans- port processes	- major effects of wave direc- tional energy changes	- possible effects of es- tuary dis- charges	- possible vegetation changes; sea breeze effects
Foreshore Stability S9	- major changes to wave penetra- tion possible -groundwater levels - sediments exposed to erosion	- minor effect	- dune shape and vegetation	<ul> <li>major direct effects on scarp toe ero- sion</li> <li>runup and overtopping</li> <li>breakthrough</li> </ul>	- elevated phreatic levels; pore pressure changes; vegetation	- vegetation changes; weathering
Sediment Transport S10	- changed distribution of motive energy; breaker depth; wave refracted angle	- may affect sediment sup- ply	<ul> <li>longshore</li> <li>wind gener-</li> <li>ated currents</li> <li>effect on</li> <li>dune mobility</li> <li>and direction</li> </ul>	- wave direc- tional energy changes; mag- nitude and duration of storms	- may affect sources/sinks of littoral drift	- seabreeze effects; vege- tation
Hydraulics of Estuaries S11	- major impact on tidal prism, seawater intru- sion	- minor effect	- influence on circulation in shallow waters	- effect on inlet characteristics, mixing	- major changes could influence tidal circulation, density	- no effect
Quality of Coastal Wa- ters S12	- major effect on exchange rates in estuar- ies	- minor effect	- possible changes in mixing and turbidity	- possible changes in mixing and turbidity	- possible changes in salinity, strati- fication, turbid- ity	- minor effect
Ecology S13	- major changes in zonation	- possible nutrient changes	- possible changes in mixing and turbidity	- major changes in zonation	- localised salinity changes	- possible effects on coral reefs

Table 8. Example interaction matrix for a nearshore tourism development

# 5. Research and Monitoring Needs

## 5.1 The Scientific Basis

The following is presented as a commentary on the present status of research and monitoring needs for increasing the understanding of potential climate change and its impacts on the coastal and ocean environment.

An extensive research effort is directed towards predicting the degree and timing of possible climate change. This primarily centres on the complex numerical modelling of the climate on a global scale, where the Earth itself becomes the control volume. This facilitates the use of aggregated data from a number of sites around the world, an averaging of trends to provide a reference point and then the calibration of models against the observed historical changes. Numerical model physics continues to be developed, with the representation of ocean-atmosphere coupling becoming more sophisticated. Modelling at smaller scales is considered to be less reliable because it stretches the validity of the numerical model assumptions, forces consideration of finer sub-resolution scale interactions and suffers from a lack of data at the regional scale (IPCC 1996a).

All of the above research is directed towards more reliable predictions of the state of future climate. It takes place within essentially a competitive academic framework and is funded primarily by Government. Continuing work that focuses on demonstrating improved modelling accuracy with clear regional application is to be encouraged and supported. Over the 10 year period from 1993, more than \$350M\* was expended by the Australian Greenhouse Office on funding emission reduction, alternative energy sources, climate research and climate impacts in Australia. The bulk of this expenditure (90%) has been on industry programs, with the remainder mostly addressing core research issues, i.e. \$24M (83%) by CSIRO, predominantly Atmospheric Research, with \$2.5M by the Bureau of Meteorology Research Centre (8%). The National Tidal Facility has received about \$1.5M for the baseline sea level surveys (5%).

# 5.2 Impacts, Adaptation and Vulnerability to Climate Change

The subsequent impacts of possible climate change and the environmental adaptations that might occur are less tractable than the overall state of climate from a global control volume perspective. This is primarily related to the already limited state of knowledge of the many complex interacting timescales of the ocean, land and atmosphere. Each of these elements is already subject to extensive but specific numerical modelling efforts in the quest to understand even present system behaviours. To argue that such models would have better relative performance when addressing climate change than their absolute performance in present climate remains a moot point. While climate change research in these areas is still essential, a focus on understanding observed processes would appear more beneficial in the short term, avoiding the temptation of making premature or weakly founded predictions that may simply serve to add to the confusion of knowledge.

The possible biological impacts and adaptations to the changing physical environment remain an essential consideration. While many studies exist that are scenario driven, more baseline experimentation and study appears highly desirable. Continuing work that focuses on demonstrating improved modelling accuracy with clear regional application is to be encouraged and supported.

<sup>\*</sup> Australian Greenhouse Office annual report figures to 2003.

Societal adaptation and mitigation strategies ultimately will need to operate at the regional and local scale. It is therefore much more difficult to treat this aspect of the problem in an objective scientific or mathematical way. While some of the more obvious climate impacts and possible solutions have already been extensively canvassed, it is likely that more subtle changes may ultimately cause the most severe impacts in certain regions. The relative importance of potential sea level rise versus changing wave energy flux is a case in point. These aspects can be addressed by an intelligent planning regimen but need to be supported by scientifically based hazard assessments to provide the parameters and limits for planning. Much exists in the literature on the planning process and a further decade of discussion will likely add little to this knowledge. *Action* is needed to establish the legislative frameworks and to provide the essential scientific parameters for the planning authorities such as safe setbacks, sea level limits, bunded zones, infrastructure replacement and aquifer management plans etc. Effective legislative action requires strong political will, while the identification of the threats and treatment options requires the harnessing of appropriate engineering and related scientific expertise and provision of research and investigation funding.

The majority of present Australian climate change impact assessment studies were completed prior to 1999 for a total expenditure of approximately \$10M. Of this, less than \$2M was spent addressing specific coastal/ocean issues, which represents about 0.6% of the total AGO grants over the past decade. With 86% of the population living in the coastal margin this appears inconsistent.

## 5.3 Coastal and Ocean Engineering Issues

Coastal and ocean engineering investigation and research is a most vital yet to date essentially non-funded component of the response to climate change in Australia. Given that engineering, whether by investigation-led planning or actual works, is the only vehicle for ultimate physical intervention in the coastal/ocean zone, this seems an incongruous situation. The engineering perspective is clearly essential for addressing the feasibility of adopting specific mitigation options and the subsequent selection of practical planning parameters. Engineering assessment requires upstream inputs from the atmospheric and oceanographic sciences but then applies that knowledge to the risk assessment of those climate impacts on the natural (to keep it that way) and the built (to maintain it) coastal and ocean environment.

Climate change is but one of many pertinent issues that have been previously identified by the NCCOE (NCCOE 1991, 1993, 1995, 2004) as requiring a concerted engineering research effort and which need to be underpinned by long-term strategic data collection programs.

The numerical prediction of potential coastal and ocean zone impacts will remain unverified and of limited practical application without significant (i.e. 50 or more years) accumulated regional data on:

- sea level variation
- shoreline changes
- estuary dynamics
- aquifer responses
- · nearshore and offshore wind, wave and current characteristics
- sediment transport mechanisms

The following are therefore seen as the more significant issues affecting progress on climate change impacts of relevance to coastal and ocean engineering issues:

Without significant accumulated data... numerical prediction of potential coastal and ocean zone impacts will remain unverified and of limited practical application.

### A lack of relevant coastal and ocean data sets

While some long term wind (e.g. Bureau of Meteorology; 50 year) and wave datasets (e.g. NSW and Qld governments; 20 year) exist and are of immense value, much collected data is sparse, incomplete, random and unintegrated. Mitchell et al. (2000), for example, highlight the special needs for sea level monitoring in the region. Urgent action is required to establish or expand engineering-related coastal and ocean data collection programs across Australia. To achieve this, the increasing trend of reduction in funding for public engineering and infrastructure projects, and the loss of Government engineering expertise in decision making, must be reversed. Monies may need to be diverted from some core climate modelling programs into long term investments in real data sets that can be used for verified studies in the future.

### Verifiable regional climate predictions

This requires the continuation of ongoing core research by climate specialists (atmospheric scientists, meteorologists and oceanographers) leading to model development and sensitivity testing against historical data sets. Verification of climate trends, however, will necessarily await the accumulation of relevant data.

### Engineering-based impacts and mitigation research

Of the average \$3.3M per year spent on climate change research in Australia, less than 10% is estimated to have been directed at coastal and ocean related issues and impacts, with most of that amount allocated to sea level monitoring alone. Of this, only a very small fraction has been undertaken by engineering research organisations. Concerted efforts need to be made by Engineers Australia and individual engineering research organisations to gain greater access to the available pool of research funds and to influence the priorities for allocation of funds.

NCCOE (1995), for example, recommended a national program be undertaken to assess the coastal impacts of climate change using a *quantitative* risk management approach that could form the basis of long term planning and management strategies. This was expected to be a major undertaking conducted over many years and taking some time to plan and develop the appropriate methodology. Much of the necessary expertise, numerical tools and actual experience for undertaking such studies already exists within the coastal and ocean engineering fraternity in Australia. These studies would ideally be multidisciplinary, calling on additional advice from scientists (earth, ocean, climate, biological) and coastal planners and managers (environment, tourism, recreation, transport, ports) as required.

In recent years, additional national funding for natural hazards risk assessment at the Local Government level has become available through Emergency Management Australia and also the Department of Transport and Regional Services. However, there has been no framework put in place to ensure the effectiveness and consistency of the studies and even these initiatives represent a very minor investment that is intended to underpin the long term planning and sustainability of coastal communities The quantum of funding typically being allocated has been well below the median market price of a single dwelling in any of the affected areas. This limits the accuracy, comprehensiveness and detail of such studies to a level far short of what is possible even with current knowledge and tools.

Of the average \$3.3M per year spent on climate change research in Australia, less than 10% is estimated to have been directed at coastal and ocean related issues and impacts ...

# 6. Glossary

AGO	Australian Greenhouse Office							
AOGCM	Atmosphere-Ocean Global Climate Model							
ARI	Average Recurrence Interval or Return Period							
BMRC	Bureau of Meteorology Research Centre							
CAS	Committee for Atmospheric Sciences (of WMO)							
CFC	Chloroflourocarbons (refrigerant gases)							
CSIRO	Commonwealth Scientific and Industrial Research Organisation							
ENSO	El Niño – Southern Oscillation							
HCFC	Hydrochloroflourocarbons (refrigerant gases)							
ICZM	Integrated Coastal Zone Management							
IPCC	Intergovernmental Panel on Climate Change							
MPI	Maximum Potential Intensity							
NCCOE	National Committee on Coastal and Ocean Engineering							
NTF	National Tidal Facility							
SOPAC	South Pacific Applied Geoscience Commission							
SPREP	South Pacific Regional Environmental Programme							
SRES	Special Report on Emission Scenarios							
SST	Sea Surface Temperature							
TAR	Third Assessment Report of the IPCC							
UNEP	United Nations Environment Programme							
UNFCC	United Nations Framework Convention on Climate Change							
UVB	Ultra-Violet B solar radiation.							
WMO	World Meteorological Organisation (part of the United Nations)							

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# 8. Selected Regional Resource Material

The following references are provided as a resource facility for assisting in coastal and ocean engineering assessments of climate change vulnerability on a regional basis. The list is derived from a number of sources, is not claimed to be definitive, nor necessarily directly related to climate change, but provides information that may be useful for making engineering assessments of the possible impact of climate change.

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# Appendix A – Basis of the NCCOE Methodology for Assessment of Climate Change Implications

In 1990, concerned with the growing proliferation of differing approaches being taken by government agencies, local councils and consultants to cater for climate change effects, the National Committee on Coastal and Ocean Engineering (NCCOE) decided to take a leadership role. With supporting funding provided under the Commonwealth Local Government Development Program, the NCCOE moved to develop a recognised set of guidelines for coastal engineers to responsibly consider the methods of dealing with the potential for climate change.

It was seen as essential that a broad spectrum of engineers from academia, all levels of government and consulting organisations be involved so that it would be clear to the profession and others that the guidelines represented a "state of the art" statement by the Institution of Engineers, Australia, in regard to the practice of coastal and ocean engineering. Accordingly an open workshop process was developed that drew upon the requisite range of peer knowledge and experience from more than 20 professional engineers at that time. When the outcomes from that process were published (NCCOE 1991) it was one of the first profession-wide successes in developing a considered methodology to address enhanced Greenhouse issues in Australia.

The present update represents an expansion of the original document made in response to requests from the coastal and ocean engineering profession in Australia. It fulfils a need to reflect the most recent climate change scenarios, and is designed to complement the coastal engineering guidelines for ecologically sustainable development (NCCOE 2004). The original assessment methodology concepts developed by peer consensus in 1990 have been retained and it is anticipated that future workshop updates will be held as the need arises to review the basic methodology.

## Appendix B – Intended Audience and Scope of Application

This document is designed primarily for use by professional engineers with expertise in and responsibility for works and facilities within the coastal and ocean field. It is expected that such engineers would be those able to satisfy the following definition of a coastal and ocean engineer and possess the level of qualifications and experience set out below.

### Definition

Coastal and ocean engineering is a specialised branch of civil engineering that deals with the investigation, design, construction and maintenance of coastal and ocean related projects or facilities and the provision of technical advice for planning and management of natural processes in the near-shore and ocean zones. The coastal and ocean engineer must ensure that facilities in these regions allow for the influence of prevailing winds, waves, tides, currents and sediment movements, optimise the consequent adverse and beneficial environmental effects and minimise risks to life and property. These aims must be achieved for the operational and design conditions and for both the construction and post operational phases of any project. In addition to understanding the geomorphological and ecological development of the marine environment, the coastal and ocean engineer is required to make quantitative assessments and predictions of the physical characteristics of the environment in so far as it impacts human needs. These assessments depend upon the availability of reliable physical data sets over a range of space and time scales, sophisticated statistical techniques and often the use of complex numerical models of the dynamic interfaces between atmosphere, land and sea.

### **Coastal and Ocean Engineering Qualifications**

A qualified Coastal and Ocean Engineer is expected to give due consideration to all the natural processes involved and to be responsible for the consequence of human intervention in the near-shore zone to ensure ecologically sustainable development. It is recommended that for a person to be considered suitably qualified, he or she should meet the following essential minimum requirements:

- Corporate Membership (MIEAust) of Engineers Australia.
- Chartered Professional Engineer (CPEng), registered on NPER.
- Membership of the Civil College of Engineers Australia.
- State registration where applicable, e.g. RPEQ.
- A tertiary qualification in Engineering with particular relevance to coastal and ocean engineering.
- Experience in development of coast protection strategy or investigation, design and construction of coastal and ocean works.
- Experience in environmental assessment with particular emphasis on coastal and ocean engineering.
- Knowledge of the processes and methods involved in coastal and ocean engineering.

In addition to the above, further desirable requirements include:

- Post-graduate qualifications and/or studies in coastal and ocean engineering or related fields.
- Experience and knowledge in the earth sciences relevant to coastal management.

Recognised areas of further speciality and experience may include:

- Ports and harbours, dredging and reclamation
- · Breakwaters, seawalls and revetments
- Sediment transport, beach nourishment
- Coastal management and planning
- Coral reefs and islands
- Hydraulics of estuaries, rivers and canals
- Water quality, mixing and dispersion
- Physical hydraulic scale modelling
- Numerical modelling of tides, currents, waves and storm surge
- Data collection and analysis
- Natural hazards risk assessment
- Vessel motion analysis
- · Marine pipelines and offshore structures
- Design criteria assessment
- Meteorology, oceanography, statistics

## Appendix C – Impact Assessment Interaction Matrix Template

This template may be useful for the preliminary assessment of the major interactions between the key climate change variables (K1 to K6) and the secondary or process variables (S1 to S13) in accordance with the recommended procedures of Section 4 and the interactions from Table 5.

	Mean Sea Level K1	Ocean Currents & Temperature K2	Wind Climate K3	Wave Climate K4	Rainfall / Runoff K5	Air Temperature K6
Local Sea Level S1						
Local Currents S2						
Local Winds S3						
Local Waves S4						
Effects on Structures S5						
Groundwater S6						
Coastal Flooding S7						
Beach Response S8						
Foreshore Stability S9						
Sediment Transport S10						
Hydraulics of Estuaries S11						
Quality of Coastal Waters S12						
Ecology S13						